

# An overview of Advanced Apriori algorithm on frequent item set generation of an object: opportunities for quota theory approach

Nihar Ranjan Hota<sup>1</sup> Manjog Padhy<sup>2</sup>

Assistant Professor, Department of Computer Science & Engineering

Einstein Academy of Technology & Management (EATM), Bhubaneswar (India) Approved by AICTE & Affiliated to Biju Patnaik University and Technology (BPUT) Rourkela, Odisha (India)

**Abstract** - Frequent pattern mining has been an emerging and active field in data mining research for over a decade. Abundant literature has been emerged from this research and tremendous progress has been made in numerous research frontiers. This article, provide an application of the modified Apriori algorithm in coordinate sets of trajectories to find the frequent trajectory coordinates. In this algorithm additional steps are added to prune the coordinate sets generated so that to reduce the unnecessary search time and space. This sequential pattern mining method is quite simple in nature but complex to implement. This paper explains the basics of data origination, database structure to hold the coordinate datasets and the implementation of the algorithm with the object oriented programming language by an illustration. It can be applied to interesting game theory domains to find the frequent trajectory of an object shot by a player which follows a trajectory path.

**Keywords** - data mining, advanced Apriori algorithm, sequential pattern matching method, frequent itemset generation, quota theory.

## 1. Introduction

**Apriori** is a classic algorithm for frequent itemset mining and association rule learning over transactional databases. It proceeds by identifying the frequent individual items in the database and extending them to larger and larger item sets as long as those item sets appear sufficiently often in the database. The frequent item sets determined by Apriori can be used to determine association rules which highlight general trends in the database: this has applications in domains such as market basket analysis.

### 1.1 Earlier Work

Association mining systems that have been developed with classification purposes in mind are sometimes dubbed classification rule mining. Some of these techniques can be adapted to our needs.

Take, for instance, the approach proposed, if  $i_j$  is the item whose absence or presence is to be predicted, the technique can be used to generate all rules that have the form  $r^{(a)} \Rightarrow I_j$ , where  $r^{(a)} \subseteq (I \setminus \{i_j\})$  and  $I_j$  is the binary class label ( $i_j =$  present or  $i_j =$  absent). For a given itemset  $s$ , the technique identifies among the rules with antecedents subsumed by  $s$  those that have the high est precedence according to the reliability of the rules—this reliability is assessed based on the rules' confidence and support values. The rule is then used for the prediction of  $i_j$ . The method suffers from three shortcomings. First, it is clearly not suitable in domains with many distinct items  $i_j$ . Second, the consequent is predicted based on the "testimony" of a single rule, ignoring the simple fact that rules with the same antecedent can imply different consequents—a method to combine these rules is needed. Third, the system may be sensitive to the subjective user specified support and confidence thresholds.

Some of these weaknesses are alleviated in, where as missing item is predicted in four steps. First, they use a so-called partitioned-ARM to generate a set of association rules (a ruleset). The next step prunes the ruleset (e.g., by removing redundant rules). From these, rules with the smallest distance from the observed incomplete shoppingcart are selected. Finally, the items predicted by these rules are weighed by the rules' antecedents' similarity to the shopping cart.

The approach in pursues a Dempster-Shafer (DS) belief theoretic approach that accommodates general data imperfections. To reduce the computational burden, Hewawasamet al. employ a data structure called a belief itemset tree. Here, too, rule generation is followed by a pruning algorithm that removes redundant rules. In order to predict the missing item, the technique selects a "matching" ruleset—a rule is included in the matching ruleset if the incoming itemset is contained in rule antecedent. If no rule satisfy this condition, then, from those rules that have nonempty intersection with the item sets, rules whose antecedents are "closer" to  $s$  according to a given distance criterion (and a user-defined distance threshold) are picked. Confidence of the rule, its "entropy," and the length of its antecedent are used to assign DS theoretic parameters to the rule. Finally, the evidence contained in each rule belonging to the matching ruleset is combined or "pooled" via a DS theoretic fusion technique.

In principle, at least, we could adopt any of the above methodologies; but the trouble is that they were all designed primarily for the classification task and not for shopping cart completion. Specifically, the number of times such classifiers have to be invoked would be equal to the number of all distinct items in the database (i.e.,  $n$ ) minus the number of those already present in the shopping cart. This is why we sought to develop a predictor that would predict all items in a computationally tractable manner.

Another aspect of these approaches is the enormous amount of effort/cost it takes to obtain a tangible and meaningful set of rules. The root of the problem lies in the apriori-like algorithms used to generate frequent itemsets and the corresponding association rules—the costs become prohibitive when the database is large and complicated. Here, the size and difficulty are determined by four parameters: number of transactions, number of distinct items, average transaction length, and the minimum support threshold. For example, the problem can become intractable if the number of frequent items is large; and whether an item is frequent or not is affected by the minimum support threshold. It is well known that apriori-based algorithms suffer from performance degradation in large-scale problems due to combinatorial explosion and repeated passes through the database.

## 1.2 Related Work

As far as we know, the Apriori algorithm has not been studied in any significant way for efficient hardware implementation. However, research in hardware implementations of related data mining algorithms has been done.

In the k-means clustering algorithm implemented as an example of a special reconfigurable fabric in the form of a cellular array connected to a host processor. K-means clustering is a data mining strategy that groups together elements based on a distance measure. The distance can be an actual measure of Euclidean distance or can be mapped from any manner of other data types. Each item in a set is randomly assigned to a cluster, the centres of the clusters are computed, and then elements are added and removed from clusters to more efficiently move them closer to the centres of the clusters. This is related to the Apriori algorithm as both are dependent on efficient set additions and computations performed on all elements of those sets, but adds the distance computation and significantly changes how the sets are built up. Besides differing in the overall algorithm, the structure of the computation is also significantly different, as the system requires the use of global memory, in which each unit's personal memory is accessible by the host controller. By avoiding global connections that violate the principles of systolic design, we can increase overall system clock frequency and ease routing problems.

In a system is implemented which attempts to mediate the high cost of data transfers for large data sets. Common databases can easily extend beyond the capacity of the physical memory, and slow tertiary storage, e.g., hard drives, are brought into the data path. This paper proposes the integration of simple computational structure for data mining onto the hard drive controller itself. The data mining proposed by the paper is not Apriori, but rather the problem of exact and inexact string matching, a much more computationally regular problem compared to the Apriori algorithm. However, the work is useful, and will become more so as FPGA performance scales up and significantly exceeds the data supply capabilities of hierarchical memory systems. We base our comparisons of hardware performance versus an efficient software implementation using a tree approach as we are unaware of any comparable hardware implementations of the Apriori algorithm. Extensive research exists on parallelizing correlation algorithms, but we focus on single machine performance.

## 2. Classification and Prediction

### 2.1 Classification

Classification is the process of finding a model (or function) that describes and distinguishes data classes or concepts. The model is derived based on the analysis of a set of training data (i.e., data objects for which the class labels are known). The model is used to predict the class label of objects for which the class label is unknown.

"How is the derived model presented?" The derived model may be represented in various forms, such as *classification rules* (i.e., *IF-THEN rules*), *decision trees*, *mathematical formulae*, or *neural networks*. A decision tree is a flowchart-like tree structure, where each node denotes a test on an attribute value, each branch represents an outcome of the test, and tree leaves represent classes or class distributions. Decision trees can easily be converted to classification rules. A neural network, when used for classification, is typically a collection of neuron-like processing units with weighted connections between the units. There are many other methods for constructing classification models, such as naïve Bayesian classification, support vector machines, and *k*-nearest-neighbor classification. Whereas classification predicts categorical (discrete, unordered) labels, regression models continuous-valued functions. That is, regression is used to predict missing or unavailable *numerical data values* rather than (discrete) class labels. The term *prediction* refers to both numeric prediction and class label prediction. Regression analysis is a statistical methodology that is most often used for numeric prediction, although other methods exist as well. Regression also encompasses the identification of distribution *trends* based on the available data.

### 2.2 Classification Process

#### 2.2.1 Model construction:

It will describe a set of predetermined classes. Each tuple/sample is assumed to belong to a predefined class, as determined by the class label attribute. The set of tuples used for model construction: training set. The model is represented as classification rules, decision trees, or mathematical formulae.

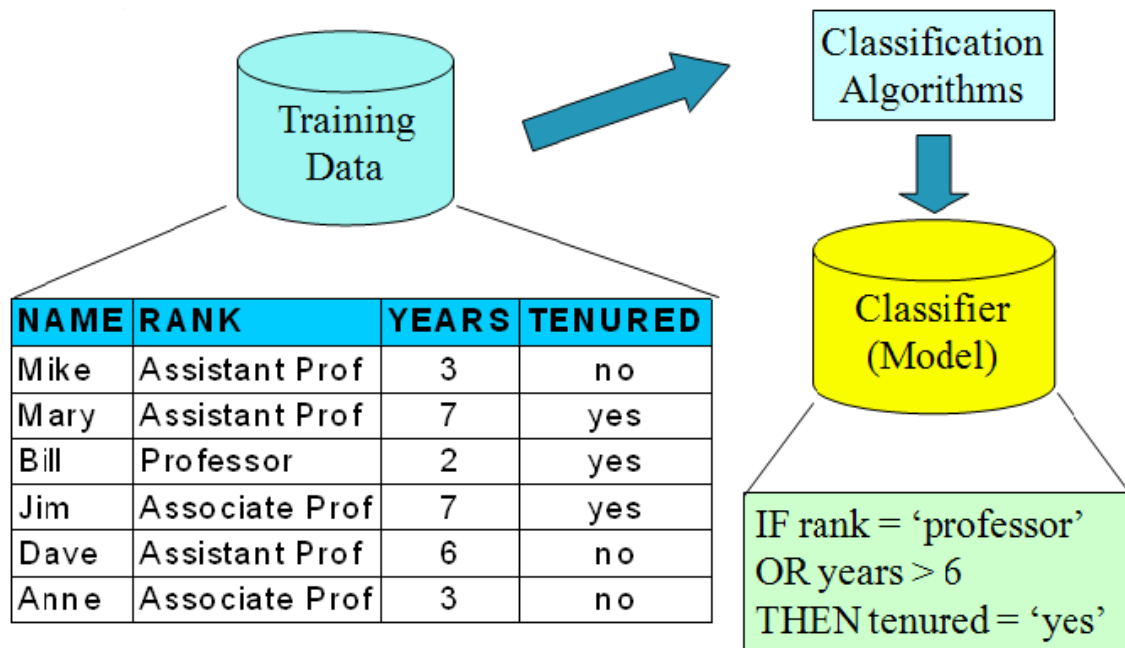


Figure 2.1: Model construction

**2.2.2 Model usage**

Estimate accuracy of the model. The known label of test sample is compared with the classified result from the model. Accuracy rate is the percentage of test set samples that are correctly classified by the model. Test set is independent of training set, otherwise over-fitting will occur.

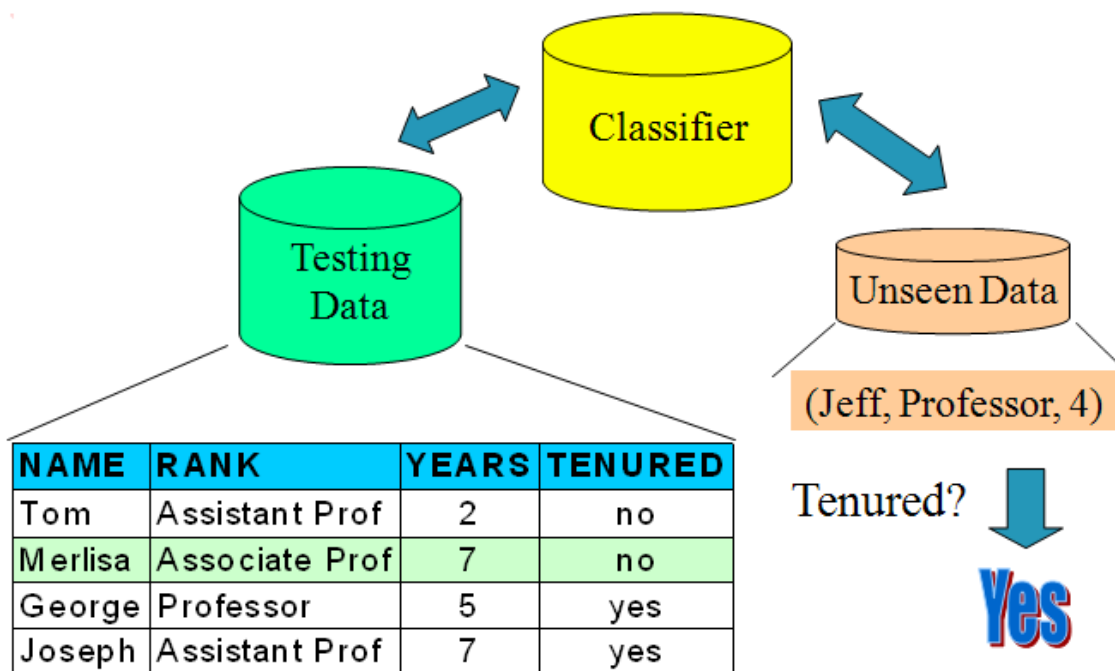


Figure 2.2: Model usage

**2.3 Issues regarding classification and prediction**

**2.3.1 Data cleaning**

Every dataset contains some errors, and every analyst experiences a rite of passage in wasting days drawing wrong conclusions because the errors have not been first rooted out. Up to half of the time needed for analysis is typically spent in "cleaning" the data. This time is also, typically, underestimated. Often, once a clean dataset is achieved, the analysis itself is quite straightforward. Preprocess data in order to reduce noise and handle missing values.

**2.3.2 Relevance analysis (feature selection)**

We first present a classic notion of feature relevance and illustrate why it alone cannot handle feature redundancy, and then provide our formal definition of feature redundancy which paves the way for efficient elimination of redundant features. Remove the irrelevant or redundant attributes.

**2.3.3 Data transformation**

Data transformation operations, such as normalization and aggregation, are additional data pre-processing procedures that would contribute toward the success of the mining process. It is the processes of representing the collected data in an accurate and compact way without losing any information, it also involves getting a information from collected data. Ex: Display the data as a graph and get the mean, median, mode etc.

## 2.4 Classification by Decision Tree Induction

A decision tree is a classifier expressed as a recursive partition of the instance space. The decision tree consists of nodes that form a rooted tree, meaning it is a directed tree with a node called a "root" that has no incoming edges. All other nodes have exactly one incoming edge. A node with outgoing edges is referred to as an "internal" or "test" node. All other nodes are called "leaves" (also known as "terminal" or "decision" nodes). In the decision tree, each internal node splits the instance space into two or more sub-spaces according to a certain discrete function of the input attribute values. In the simplest and most frequent case, each test considers a single attribute, such that the instance space is partitioned according to the attribute's value. In the case of numeric attributes, the condition refers.

Each leaf is assigned to one class representing the most appropriate target value. Alternatively, the leaf may hold a probability vector (affinity vector) indicating the probability of the target attribute having a certain value. Figure 1.4 describes another example of a decision tree that reasons whether or not a potential customer will respond to a direct mailing. Internal nodes are represented as circles, whereas leaves are denoted as triangles. Two or more branches may grow from each internal node (i.e. not a leaf). Each node corresponds with a certain characteristic and the branches correspond with a range of values. These ranges of values must give a partition of the set of values of the given characteristic.

Instances are classified by navigating them from the root of the tree down to a leaf, according to the outcome of the tests along the path. Specifically, we start with a root of a tree; we consider the characteristic that corresponds to a root; and we define to which branch the observed value of the given characteristic corresponds. Then we consider the node in which the given branch appears. We repeat the same operations for this node etc., until we reach a leaf.

Note that this decision tree incorporates both nominal and numeric attributes. Given this classifier, the analyst can predict the response of a potential customer (by sorting it down the tree), and understand the behavioral characteristics of the entire potential customer population regarding direct mailing. Each node is labeled with the attribute it tests, and its branches are labeled with its corresponding values.

In case of numeric attributes, decision trees can be geometrically interpreted as a collection of hyper planes, each orthogonal to one of the axes.

## 2.5 Bayesian Classification

If one or several attributes or features  $a_i \in A$  occur together in more than one itemset (data sample, target data) assigned the topic  $T$ , then output a rule

**Rule $_{\lambda}$**  :  $a_i \wedge a_j \dots \wedge a_m \Rightarrow T$  or

$$\text{Rule}_{\mu} = \prod_{t=1}^v P(a_t / X) > \tau_{thres} \rightarrow T_{\mu}$$

### Examples. Naïve Bayes Classifiers.

Best for classifying texts, documents, ...

Major drawback: unrealistic independent assumption among individual items.

Basic issue here: Do we accept a document  $d$  in class  $C$ ? If we do, what is the penalty for misclassification?

For a good mail classifier, a junk mail should be assigned "junk" label with a very high probability. The cost of doing this to a good mail is very high.

The probability that a document  $d_i$  belongs to topic  $C_j$  is computed by Bayes' rule

$$P(C_j / d_i) = \frac{P(d_i / C_j) P(C_j)}{P(d_i)} \quad \dots (1)$$

Define priori odds on  $C_j$  as

$$O(C_j) = \frac{P(C_j)}{1 - P(C_j)} \quad \dots (2)$$

Then Bayes' equation gives us the posterior odds

$$O(C_j/d_i) = O(C_j) \frac{P(d_i/C_j)}{P(d_i/\square C_j)} = O(C_j)L(d_i/C_j)$$

... (3)

Where  $L(d_i/C_j)$  is the likelihood ratio. This is one way we could use the classifier to yield posterior estimate of a document.

Another way would be to go back to (1). Here

$$P(C_j/d_i) = \frac{P(d_i/C_j)P(C_j)}{P(d_i)}$$

$$\text{With } P(C_j) = \frac{n_d(C_j)}{|D|} \quad \dots (4)$$

Where  $|D|$  is the total volume of the documents in the database, and  $n_d(C_j)$  is the number of documents in class  $C_j$ .

### 3. Cluster Analysis

#### Basic Concepts and Methods

Imagine that you are the Director of Customer Relationships at *AllElectronics*, and you have five managers working for you. You would like to organize all the company's customers into five groups so that each group can be assigned to a different manager. Strategically, you would like that the customers in each group are as similar as possible. Moreover, two given customers having very different business patterns should not be placed in the same group. Your intention behind this business strategy is to develop customer relationship campaigns that specifically target each group, based on common features shared by the customers per group. What kind of data mining techniques can help you to accomplish this task?

#### 3.1 What Is Cluster Analysis?

Cluster analysis or simply clustering is the process of partitioning a set of data objects (or observations) into subsets. Each subset is a cluster, such that objects in a cluster are similar to one another, yet dissimilar to objects in other clusters. The set of clusters resulting from a cluster analysis can be referred to as a clustering. In this context, different clustering methods may generate different clusterings on the same data set. The partitioning is not performed by humans, but by the clustering algorithm. Hence, clustering is useful in that it can lead to the discovery of previously unknown groups within the data.

Cluster analysis has been widely used in many applications such as business intelligence, image pattern recognition, Web search, biology, and security. In business intelligence, clustering can be used to organize a large number of customers into groups, where customers within a group share strong similar characteristics. This facilitates the development of business strategies for enhanced customer relationship management. Moreover, consider a consultant company with a large number of projects. To improve project management, clustering can be applied to partition projects into categories based on similarity so that project auditing and diagnosis (to improve project delivery and outcomes) can be conducted effectively.

In image recognition, clustering can be used to discover clusters or "subclasses" in handwritten character recognition systems. Suppose we have a data set of handwritten digits, where each digit is labeled as either 1, 2, 3, and so on. Note that there can be a large variance in the way in which people write the same digit. Take the number 2, for example. Some people may write it with a small circle at the left bottom part, while some others may not. We can use clustering to determine subclasses for "2," each of which represents a variation on the way in which 2 can be written. Using multiple models based on the subclasses can improve overall recognition accuracy.

Clustering has also found many applications in Web search. For example, a keyword search may often return a very large number of hits (i.e., pages relevant to the search) due to the extremely large number of web pages. Clustering can be used to organize the search cluster analysis or simply clustering is the process of partitioning a set of data objects (or observations) into subsets. Each

subset is a cluster, such that objects in a cluster are similar to one another, yet dissimilar to objects in other clusters. The set of clusters resulting from a cluster analysis can be referred to as a clustering. In this context, different clustering methods may generate different clustering's on the same data set. The partitioning is not performed by humans, but by the clustering algorithm. Hence, clustering is useful in that it can lead to the discovery of previously unknown groups within the data.

Cluster analysis has been widely used in many applications such as business intelligence, image pattern recognition, Web search, biology, and security. In business intelligence, clustering can be used to organize a large number of customers into groups, where customers within a group share strong similar characteristics. This facilitates the development of business strategies for enhanced customer relationship management. Moreover, consider a consultant company with a large number of projects. To improve project management, clustering can be applied to partition projects into categories based on similarity so that project auditing and diagnosis (to improve project delivery and outcomes) can be conducted effectively.

In image recognition, clustering can be used to discover clusters or "subclasses" in handwritten character recognition systems. Suppose we have a data set of handwritten digits, where each digit is labeled as either 1, 2, 3, and so on. Note that there can be a large variance in the way in which people write the same digit. Take the number 2, for example. Some people may write it with a small circle at the left bottom part, while some others may not. We can use clustering to determine subclasses for "2," each of which represents a variation on the way in which 2 can be written. Using multiple models based on the subclasses can improve overall recognition accuracy.

### 3.2 Requirements for Cluster Analysis

Clustering is a challenging research field. In this section, you will learn about the requirements for clustering as a data mining tool, as well as aspects that can be used for comparing clustering methods.

The following are typical requirements of clustering in data mining.

**Scalability:** Many clustering algorithms work well on small data sets containing fewer than several hundred data objects; however, a large database may contain millions or even billions of objects, particularly in Web search scenarios. Clustering on only a sample of a given large data set may lead to biased results. Therefore, highly scalable clustering algorithms are needed.

■ **Ability to deal with different types of attributes:** Many algorithms are designed to cluster numeric (interval-based) data. However, applications may require clustering other data types, such as binary, nominal (categorical), and ordinal data, or mixtures of these data types. Recently, more and more applications need clustering techniques for complex data types such as graphs, sequences, images, and documents.

■ **Discovery of clusters with arbitrary shape:** Many clustering algorithms determine clusters based on Euclidean or Manhattan distance measures (Chapter 2). Algorithms based on such distance measures tend to find spherical clusters with similar size and density. However, a cluster could be of any shape. Consider sensors, for example, which are often deployed for environment surveillance. Cluster analysis on sensor readings can detect interesting phenomena. We may want to use clustering to find the frontier of a running forest fire, which is often not spherical. It is important to develop algorithms that can detect clusters of arbitrary shape.

■ **Requirements for domain knowledge to determine input parameters:** Many clustering algorithms require users to provide domain knowledge in the form of input parameters such as the desired number of clusters. Consequently, the clustering results may be sensitive to such parameters. Parameters are often hard to determine, especially for high-dimensionality data sets and where users have yet to grasp a deep understanding of their data. Requiring the specification of domain knowledge not only burdens users, but also makes the quality of clustering difficult to control.

■ **Ability to deal with noisy data:** Most real-world data sets contain outliers and/or missing, unknown, or erroneous data. Sensor readings, for example, are often noisy—some readings may be inaccurate due to the sensing mechanisms, and some readings may be erroneous due to interferences from surrounding transient objects. Clustering algorithms can be sensitive to such noise and may produce poor-quality clusters. Therefore, we need clustering methods that are robust to noise.

■ **Incremental clustering and insensitivity to input order:** In many applications, incremental updates (representing newer data) may arrive at any time. Some clustering algorithms cannot incorporate incremental updates into existing clustering structures and, instead, have to recompute a new clustering from scratch. Clustering algorithms may also be sensitive to the input data order. That is, given a set of data objects, clustering algorithms may return dramatically different clustering's depending on the order in which the objects are presented. Incremental clustering algorithms and algorithms that are insensitive to the input order are needed.

■ **Capability of clustering high-dimensionality data:** A data set can contain numerous dimensions or attributes. When clustering documents, for example, each keyword can be regarded as a dimension, and there are often thousands of keywords. Most clustering algorithms are good at handling low-dimensional data such as data sets involving only two or three dimensions. Finding clusters of data objects in a high-dimensional space is challenging, especially considering that such data can be very sparse and highly skewed.

■ **Scalability:** Many clustering algorithms work well on small data sets containing fewer than several hundred data objects; however, a large database may contain millions or even billions of objects, particularly in Web search scenarios. Clustering on only a sample of a given large data set may lead to biased results. Therefore, highly scalable clustering algorithms are needed.

■ **Ability to deal with different types of attributes:** Many algorithms are designed to cluster numeric (interval-based) data. However, applications may require clustering other data types, such as binary, nominal (categorical), and ordinal data, or mixtures of these data types. Recently, more and more applications need clustering techniques for complex data types such as graphs, sequences, images, and documents.

■ **Discovery of clusters with arbitrary shape:** Many clustering algorithms determine clusters based on Euclidean or Manhattan distance measures (Chapter 2). Algorithms based on such distance measures tend to find spherical clusters with similar size and density. However, a cluster could be of any shape. Consider sensors, for example, which are often deployed for environment

surveillance. Cluster analysis on sensor readings can detect interesting phenomena. We may want to use clustering to find the frontier of a running forest fire, which is often not spherical. It is important to develop algorithms that can detect clusters of arbitrary shape.

- Requirements for domain knowledge to determine input parameters: Many clustering algorithms require users to provide domain knowledge in the form of input parameters such as the desired number of clusters. Consequently, the clustering results may be sensitive to such parameters. Parameters are often hard to determine, especially for high-dimensionality data sets and where users have yet to grasp a deep understanding of their data. Requiring the specification of domain knowledge not only burdens users, but also makes the quality of clustering difficult to control.

- Ability to deal with noisy data: Most real-world data sets contain outliers and/or missing, unknown, or erroneous data. Sensor readings, for example, are often noisy—some readings may be inaccurate due to the sensing mechanisms, and some readings may be erroneous due to interferences from surrounding transient objects. Clustering algorithms can be sensitive to such noise and may produce poor-quality clusters. Therefore, we need clustering methods that are robust to noise.

- Incremental clustering and insensitivity to input order: In many applications, incremental updates (representing newer data) may arrive at any time. Some clustering algorithms cannot incorporate incremental updates into existing clustering structures and, instead, have to recompute a new clustering from scratch. Clustering algorithms may also be sensitive to the input data order. That is, given a set of data objects, clustering algorithms may return dramatically different clusterings depending on the order in which the objects are presented. Incremental clustering algorithms and algorithms that are insensitive to the input order are needed.

- Capability of clustering high-dimensionality data: A data set can contain numerous dimensions or attributes. When clustering documents, for example, each keyword can be regarded as a dimension, and there are often thousands of keywords. Most clustering algorithms are good at handling low-dimensional data such as data sets involving only two or three dimensions. Finding clusters of data objects in a high-dimensional space is challenging, especially considering that such data can be very sparse and highly skewed.

### 3.3 Partitioning Methods

The simplest and most fundamental version of cluster analysis is partitioning, which organizes the objects of a set into several exclusive groups or clusters. To keep the problem specification concise, we can assume that the number of clusters is given as background knowledge. This parameter is the starting point for partitioning methods.

Formally, given a data set,  $D$ , of  $n$  objects, and  $k$ , the number of clusters to form, a partitioning algorithm organizes the objects into  $k$  partitions ( $k \leq n$ ), where each partition represents a cluster. The clusters are formed to optimize an objective partitioning criterion, such as a dissimilarity function based on distance, so that the objects within a cluster are “similar” to one another and “dissimilar” to objects in other clusters in terms of the data set attributes.

#### 3.3.1 k-Means: A Centroid-Based Technique

Suppose a data set,  $D$ , contains  $n$  objects in Euclidean space. Partitioning methods distribute the objects in  $D$  into  $k$  clusters,  $C_1, \dots, C_k$ , that is,  $C_i \subset D$  and  $C_i \cap C_j = \emptyset$  for  $(1 \leq i, j \leq k)$ . An objective function is used to assess the partitioning quality so that objects within a cluster are similar to one another but dissimilar to objects in other clusters. This is, the objective function aims for high intracluster similarity and low intercluster similarity.

A centroid-based partitioning technique uses the *centroid* of a cluster,  $C_i$ , to represent that cluster. Conceptually, the centroid of a cluster is its center point. The centroid can be defined in various ways such as by the mean or medoid of the objects (or points) assigned to the cluster. The difference between an object  $p \in C_i$  and  $c_i$ , the representative of the cluster, is measured by  $dist(p, c_i)$ , where  $dist(x, y)$  is the Euclidean distance between two points  $x$  and  $y$ . The quality of cluster  $C_i$  can be measured by the within-cluster variation, which is the sum of *squared error* between all objects in  $C_i$  and the centroid  $c_i$ , defined as

where  $E$  is the sum of the squared error for all objects in the data set;  $p$  is the point in space representing a given object; and  $c_i$  is the centroid of cluster  $C_i$  (both  $p$  and  $c_i$  are multidimensional). In other words, for each object in each cluster, the distance from the object to its cluster center is squared, and the distances are summed. This objective function tries to make the resulting  $k$  clusters as compact and as separate as possible.

Optimizing the within-cluster variation is computationally challenging. In the worst case, we would have to enumerate a number of possible partitioning that are exponential to the number of clusters, and check the within-cluster variation values. It has been shown that the problem is NP-hard in general Euclidean space even for two clusters (i.e.,  $k = 2$ ). Moreover, the problem is NP-hard for a general number of clusters  $k$  even in the 2-D Euclidean space. If the number of clusters  $k$  and the dimensionality of the space  $d$  are fixed, the problem can be solved in time  $O(ndk + 1 \log n)$ , where  $n$  is the number of objects. To overcome the prohibitive computational cost for the exact solution, greedy approaches are often used in practice. A prime example is the  $k$ -means algorithm, which is simple and commonly used.

“How does the  $k$ -means algorithm work?” The  $k$ -means algorithm defines the centroid of a cluster as the mean value of the points within the cluster. It proceeds as follows. First, it randomly selects  $k$  of the objects in  $D$ , each of which initially represents a cluster mean or center. For each of the remaining objects, an object is assigned to the cluster to which it is the most similar, based on the Euclidean distance between the object and the cluster mean. The  $k$ -means algorithm then iteratively improves the within-cluster variation. For each cluster, it computes the new mean using the objects assigned to the cluster in the previous iteration. All the objects are then reassigned using the updated means as the new cluster centers. The iterations continue until the assignment is stable, that is, the clusters formed in the current round are the same as those formed in the previous round.

### 3.4 Hierarchical Methods

While partitioning methods meet the basic clustering requirement of organizing a set of objects into a number of exclusive groups, in some situations we may want to partition our data into groups at different levels such as in a hierarchy. A hierarchical clustering method works by grouping data objects into a hierarchy or “tree” of clusters.

Representing data objects in the form of a hierarchy is useful for data summarization and visualization. For example, as the manager of human resources at *AllElectronics*, you may organize your employees into major groups such as executives, managers, and staff. You can further partition these groups into smaller subgroups. For instance, the general group of staff can be further divided into subgroups of senior officers, officers, and trainees. All these groups form a hierarchy. We can easily summarize or characterize the data that are organized into a hierarchy, which can be used to find, say, the average salary of managers and of officers.

Consider handwritten character recognition as another example. A set of handwriting samples may be first partitioned into general groups where each group corresponds to a unique character. Some groups can be further partitioned into subgroups since a character may be written in multiple substantially different ways. If necessary, the hierarchical partitioning can be continued recursively until a desired granularity is reached.

In the previous examples, although we partitioned the data hierarchically, we did not assume that the data have a hierarchical structure (e.g., managers are at the same level in our *AllElectronics* hierarchy as staff). Our use of a hierarchy here is just to summarize and represent the underlying data in a compressed way. Such a hierarchy is particularly useful for data visualization.

Alternatively, in some applications we may believe that the data bear an underlying hierarchical structure that we want to discover. For example, hierarchical clustering may uncover a hierarchy for *AllElectronics* employees structured on, say, salary. In the study of evolution, hierarchical clustering may group animals according to their biological features to uncover evolutionary paths, which are a hierarchy of species. As another example, grouping configurations of a strategic game (e.g., chess or checkers) in a hierarchical way may help to develop game strategies that can be used to train players.

In this section, you will study hierarchical clustering methods. Section 10.3.1 begins with a discussion of agglomerative versus divisive hierarchical clustering, which organize objects into a hierarchy using a bottom-up or top-down strategy, respectively. Agglomerative methods start with individual objects as clusters, which are iteratively merged to form larger clusters. Conversely, divisive methods initially let all the given objects form one cluster, which they iteratively split into smaller clusters.

Hierarchical clustering methods can encounter difficulties regarding the selection of merge or split points. Such a decision is critical, because once a group of objects is merged or split, the process at the next step will operate on the newly generated clusters. It will neither undo what was done previously, nor perform object swapping between clusters. Thus, merge or split decisions, if not well chosen, may lead to low-quality clusters. Moreover, the methods do not scale well because each decision of merge or split needs to examine and evaluate many objects or clusters.

### 3. Apriori Algorithm

#### 3.1 Introduction to the Apriori Algorithm

We break the Apriori algorithm into three sections, as illustrated in Figure 1. Initial frequent item sets are fed into the system, and candidate generation, candidate pruning, and candidate support is executed in turn. The support information is feedback into the candidate generator and the cycle continues until the final candidate set is determined. We will first introduce some of the datamining lexicon and then describe the operational phases in more detail.

In the literature, an analogy to a shopping cart is used: the set of items purchased at one time, checked out from the library, or otherwise grouped together based on some criteria such as time, customer, etc. is referred to as a basket. The items within the basket can be the entire transaction, or there may be multiple transactions within the basket. A frequent itemset is the a set of one or more items that often occur in a database one item, and often occurs together in the same basket within the database if it consists of more than one item. The cut-off of how often a set must occur before it is included in the candidate set is the support.

In this way, a researcher can request a particular support value and find the items which occur together in a basket a minimum number of times within the database, guaranteeing a minimum confidence in the results. A popular example in the literature (possibly apocryphal) is processing the supermarket transactions of working men with young children: when they go to the store after work to pick up diapers, they tend to purchase beer at the same time. Thus, it makes sense statistically, if not socially responsibly, to put a beer refrigerator in the diaper aisle.

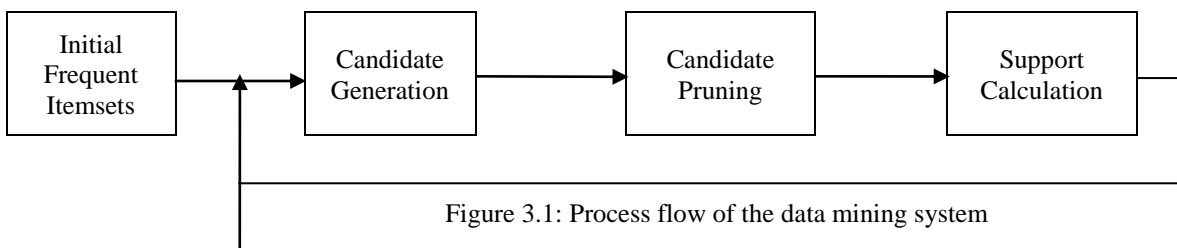


Figure 3.1: Process flow of the data mining system

Candidate generation is the process in which one generation of candidates are built into the next generation. This building process is from where the Apriori name derives. Because each new candidate is built from candidates that have been determined apriori (in the previous generation) to have a high level of support, they can be confidently expanded into new potential frequent itemsets. This is expressed formally as follows:



```

∀f1, f2 ∈ Fk do
  with f1 = (i1, ..., ik-1, ik)
  and f2 = (i1, ..., ik-1, ik*)
  and ik < ik*
    f := f1 U f2 = (i1, ..., ik-1, ik, ik*)

```

It should be noted that only ordered sets are utilized. Thus, when  $f$  is generated from  $f_1$  and  $f_2$ , the sets remain ordered. Candidate generation pairs up any candidates that differ only in their final element to generate the next candidate generation. The next step of candidate generation guarantees that each new candidate is not only formed from two candidates from the previous generation, but that every subset of it is also present in the previous generation, as follows:

```

∀i ∈ f : f - {i} ∈ Fk

```

Thus, our initial candidate generation proves by design that if we remove either of the last two items ( $i_k, i_k^*$ ) from the new candidate, we will get candidates from the previous generation, namely,  $f_1$  and  $f_2$ . The second step proves that if we remove any of the other items from the new candidate, we must find a candidate from the previous generation. This progressive build-up of candidates is the heart of the Apriori algorithm. The third phase of the algorithm is the support calculation. It is by far the most time consuming and data intensive part of the application, as it is during this phase the database is streamed into the system. Each potential candidate's support, or number of occurrences over the database set, is determined by comparing each candidate with each transaction in the database. If the set of items that make up the candidate appear in the transaction, the support count for that candidate is incremented, as follows:

```

∀t ∈ T do
  ∀c ∈ C do
    if c ∈ t
      support(c)++

```

The main problem with the Apriori algorithm is this data complexity. Each candidate must be compared against every transaction data, and candidate generation must see the entire database transaction set. This gives a large running time for a single generation,  $O(|T||C|/|t|)$ , assuming the subset function can be implemented in constant time  $|t|$ . However, the parallelism contained in the loops allows for some interesting acceleration in hardware, particularly when implemented as a systolic array.

### 3.2 The Apriori Algorithm: Basics

The Apriori Algorithms an influential algorithm for mining frequent itemsets for boolean association rules.

#### Key Concepts:

- Frequent Itemsets: The sets of item which has minimum support (denoted by  $L_i$  for  $i^{\text{th}}$ -Itemset).
- Apriori Property: Any subset of frequent itemset must be frequent.
- Join Operation: To find  $L_k$ , a set of candidate  $k$ -itemsets is generated by joining  $L_{k-1}$  with itself.

### 3.3 The Apriori Algorithm in a Nutshell

- Find the *frequent itemsets*: the sets of items that have minimum support
  - A subset of a frequent itemset must also be a frequent itemset
    - i.e., if  $\{AB\}$  is a frequent itemset, both  $\{A\}$  and  $\{B\}$  should be a frequent itemset
  - Iteratively find frequent itemsets with cardinality from 1 to  $k$  ( $k$ -itemset)
- Use the frequent itemsets to generate association rules.

### 3.4 Minimum Support Threshold

The support of an association pattern is the percentage of task-relevant data transactions for which the pattern is true.

IF  $A \Rightarrow B$

$$\text{support}(A \Rightarrow B) = \frac{\text{no. of tuples containing both A and B}}{\text{total no. of tuples}}$$

### 3.5 Minimum Confidence Threshold

Confidence is defined as the measure of certainty or trustworthiness associated with each discovered pattern.

IF  $A \Rightarrow B$

$$\text{confidence}(A \Rightarrow B) = \frac{\text{no. of tuples containing both A and B}}{\text{no. of tuples containing A}}$$

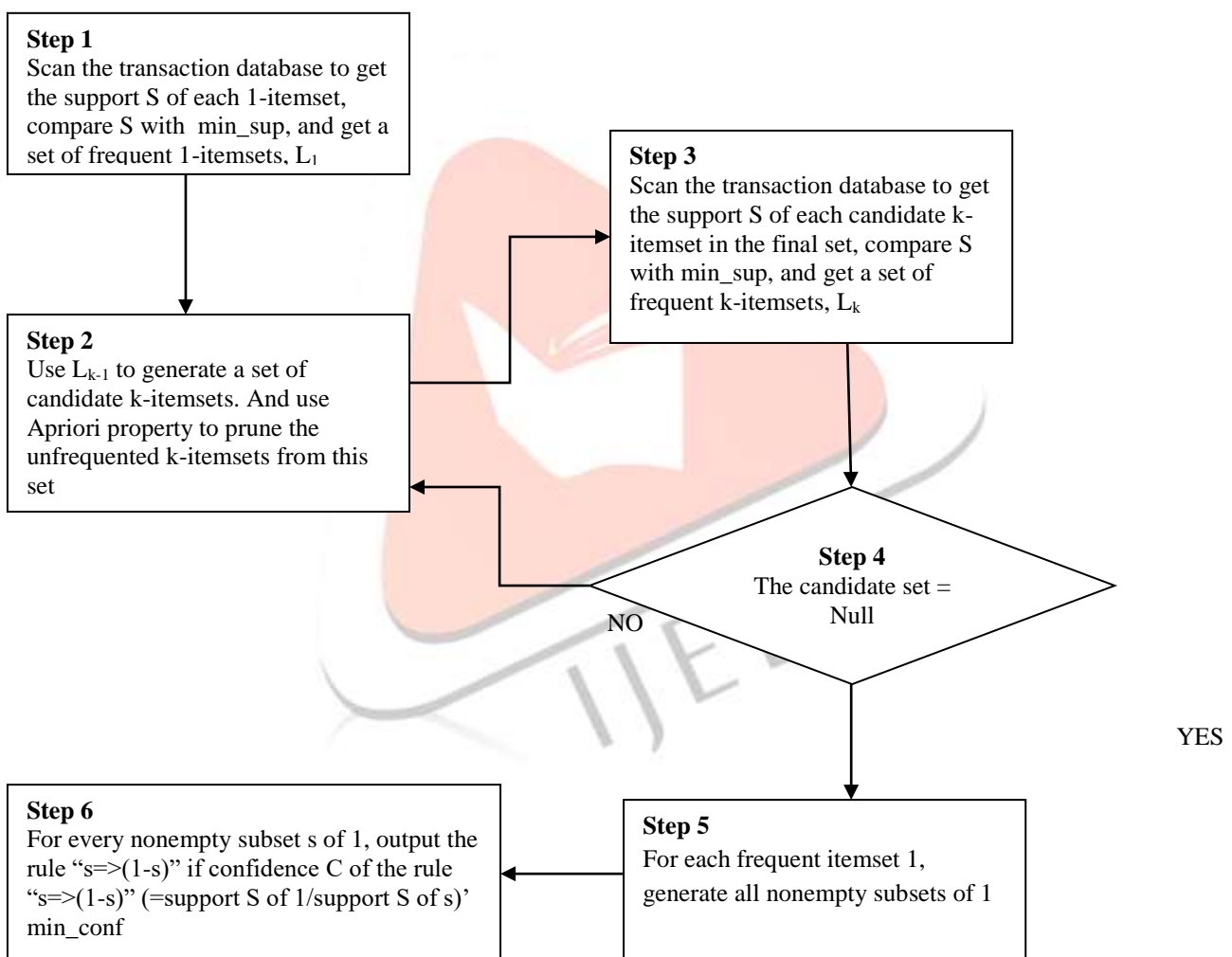
### 3.6 The Apriori Algorithm: Pseudo code

- Join Step:  $C_k$  is generated by joining  $L_{k-1}$  with itself
- Prune Step: Any  $(k-1)$ -itemset that is not frequent cannot be a subset of a frequent  $k$ -itemset

•Pseudo-code:

```

 $C_k$ : Candidate itemset of size k
 $L_k$ : frequent itemset of size k
 $L_1 = \{\text{frequent items}\};$ 
for( $k = 1; L_k \neq \emptyset; k++$ ) do begin
     $C_{k+1} =$  candidates generated from  $L_k$ ;
    for each transaction  $t$  in database do
        increment the count of all candidates in  $C_{k+1}$  that are contained in  $t$ 
     $L_{k+1} =$  candidates in  $C_{k+1}$  with min_support
end
return  $\cup_k L_k$ ;
    
```

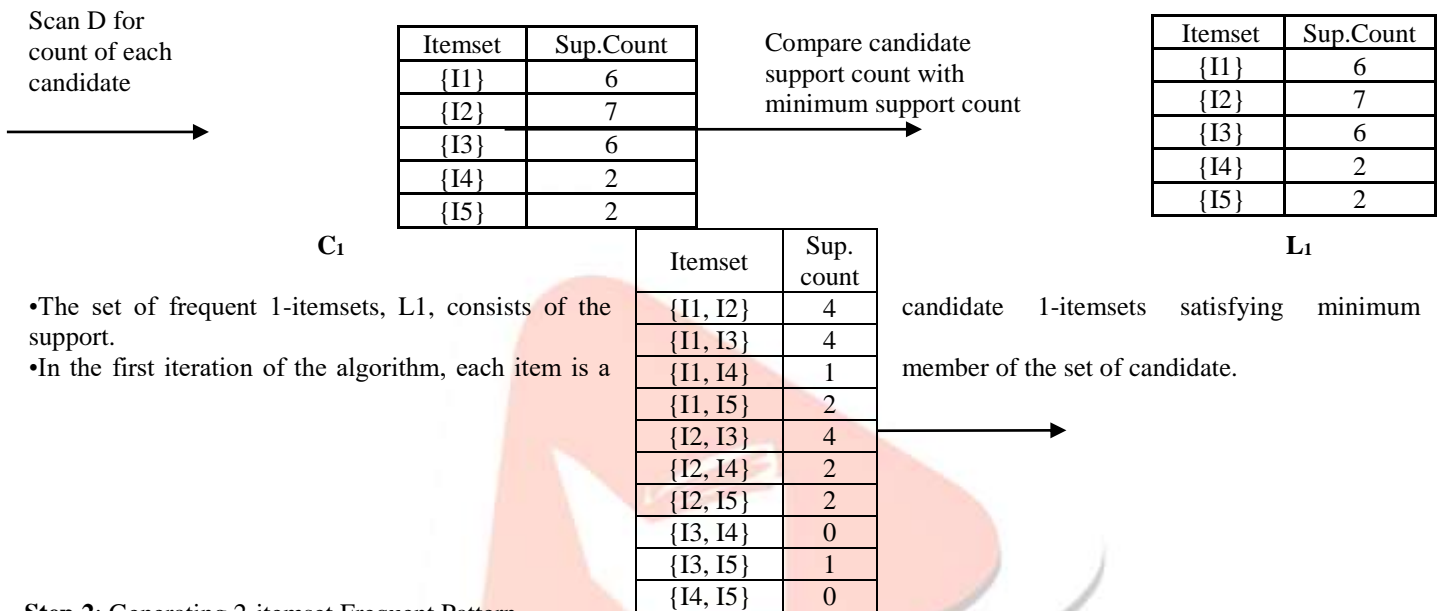


**3.7 The Apriori Algorithm: Example**

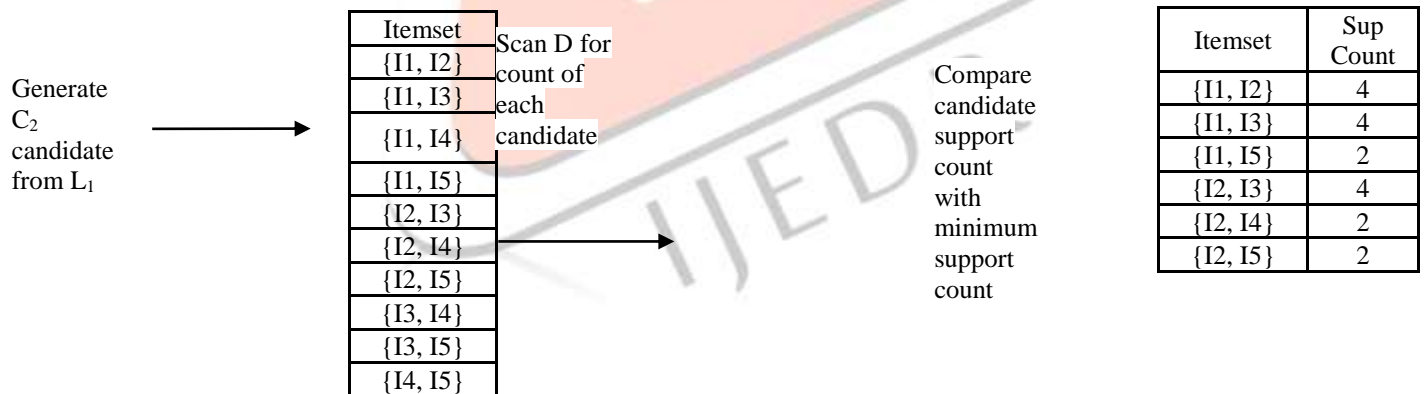
TID	List of Items
T100	I1, I2, I5
T100	I2, I4
T100	I2, I3
T100	I1, I2, I4
T100	I1, I3
T100	I2, I3
T100	I1, I3
T100	I1, I2, I3, I5
T100	I1, I2, I3

- Consider a database, D, consisting of 9 transactions.
- Suppose min. support count required is 2 (i.e.  $\text{min\_sup} = 2/9 = 22\%$ )
- Let minimum confidence required is 70%.
- We have to first find out the frequent itemset using Apriori algorithm.
- Then, Association rules will be generated using min. support & min. confidence.

**Step 1: Generating 1-itemset Frequent Pattern**



**Step 2: Generating 2-itemset Frequent Pattern**



- To discover the set of frequent 2-itemsets, L<sub>2</sub>, the algorithm uses L<sub>1</sub> Join L<sub>1</sub> to generate a candidate set of 2-itemsets, C<sub>2</sub>.
- Next, the transactions in D are scanned and the support count for each candidate itemset in C<sub>2</sub> is accumulated (as shown in the middle table).
- The set of frequent 2-itemsets, L<sub>2</sub>, is then determined, consisting of those candidate 2-itemsets in C<sub>2</sub> having minimum support.
- Note: We haven't used Apriori Property yet.

**Step 3: Generating 3-itemset Frequent Pattern**

- The generation of the set of candidate 3-itemsets, C<sub>3</sub>, involves use of the Apriori Property.
- In order to find C<sub>3</sub>, we compute L<sub>2</sub> Join L<sub>2</sub>.
- C<sub>3</sub> = L<sub>2</sub> Join L<sub>2</sub> = {{I1, I2, I3}, {I1, I2, I5}, {I1, I3, I5}, {I2, I3, I4}, {I2, I3, I5}, {I2, I4, I5}}.
- Now, Join step is complete and Prune step will be used to reduce the size of C<sub>3</sub>. Prune step helps to avoid heavy computation due to large C<sub>k</sub>.
- Based on the Apriori property that all subsets of a frequent itemset must also be frequent, we can determine that four latter candidates cannot possibly be frequent. How ?

- For example, let's take {I1, I2, I3}. The 2-item subsets of it are {I1, I2}, {I1, I3} & {I2, I3}. Since all 2-item subsets of {I1, I2, I3} are members of L2, We will keep {I1, I2, I3} in C3.
- Lets take another example of {I2, I3, I5} which shows how the pruning is performed. The 2-item subsets are {I2, I3}, {I2, I5} & {I3, I5}.
- BUT, {I3, I5} is not a member of L2 and hence it is not frequent violating Apriori Property. Thus we will have to remove {I2, I3, I5} from C3.
- Therefore, C3= {{I1, I2, I3}, {I1, I2, I5}} after checking for all members of result of Join operation for Pruning.
- Now, the transactions in D are scanned in order to determine L3, consisting of those candidates 3-itemsets in C3 having minimum support.

#### Step 4: Generating 4-itemset Frequent Pattern

- The algorithm uses L3 Join L3 to generate a candidate set of 4-itemsets, C4. Although the join results in {{I1, I2, I3, I5}}, this itemset is pruned since its subset {{I2, I3, I5}} is not frequent.
- Thus, C4=  $\emptyset$ , and algorithm terminates, having found all of the frequent items. This completes our Apriori Algorithm.
- What's Next?

These frequent itemsets will be used to generate strong association rules (where strong association rules satisfy both minimum support & minimum confidence).

#### Step 5: Generating Association Rules from Frequent Itemsets

- Let minimum confidence threshold is, say 70%.
- The resulting association rules are shown below, each listed with its confidence.

- R1:  $I1 \wedge I2 \rightarrow I5$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I1, I2\} = 2/4 = 50\%$
  - R1 is Rejected.
- R2:  $I1 \wedge I5 \rightarrow I2$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I1, I5\} = 2/2 = 100\%$
  - R2 is Selected.
- R3:  $I2 \wedge I5 \rightarrow I1$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I2, I5\} = 2/2 = 100\%$
  - R3 is Selected.
- R4:  $I1 \rightarrow I2 \wedge I5$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I1\} = 2/6 = 33\%$
  - R4 is Rejected.
- R5:  $I2 \rightarrow I1 \wedge I5$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I2\} = 2/7 = 29\%$
  - R5 is Rejected.
- R6:  $I5 \rightarrow I1 \wedge I2$ 
  - Confidence =  $sc\{I1, I2, I5\} / sc\{I5\} = 2/2 = 100\%$
  - R6 is Selected.

In this way, we have found three strong association rules.

### 3.8 Methods to Improve Apriori's Efficiency

- Hash-based itemset counting: A  $k$ -itemset whose corresponding hashing bucket count is below the threshold cannot be frequent.
- Transaction reduction: A transaction that does not contain any frequent  $k$ -itemset is useless in subsequent scans.
- Partitioning: Any itemset that is potentially frequent in DB must be frequent in at least one of the partitions of DB.
- Sampling: mining on a subset of given data, lower support threshold + a method to determine the completeness.
- Dynamic itemset counting: add new candidate itemsets only when all of their subsets are estimated to be frequent.

## 4. Association Rule

### 4.1 Data Mining

Data Mining refers to extracting or mining information from large amounts of data. Data mining has attracted a great deal of attention in the information industry and in society as a whole in recent years, due to the wide availability of huge amounts of data and the imminent need for turning such data into useful information and knowledge.

Data mining, "the extraction of hidden predictive information from large databases", is a powerful new technology with great potential to help companies focus on the most important information in their data warehouses. Data mining tools predict future trends and behaviours, allowing businesses to make proactive, knowledge-driven decisions.

The automated, prospective analysis offered by data mining moves beyond the analysis of past events provided by retrospective tools typical of decision support systems. Data mining tools can answer business questions that traditionally were too time consuming to resolve. They scour databases for hidden patterns, finding predictive information that experts may miss because it lies outside their expectations.

Most companies collect and refine massive quantities of data. Data mining techniques can be implemented rapidly on existing software and hardware platforms to enhance the value of existing information resources and can be integrated with new products and systems as they are brought on-line. When implemented on high performance client/server or parallel processing computers, data mining tools can analyze massive databases to deliver answers to many questions.

The information and knowledge gained can be used for application ranging from market analysis, fraud detection, and customer retention, to production control and science exploration. Data Mining plays an important role in online shopping for analyzing the subscribers' data and understanding their behaviours and making good decisions such that customer acquisition and customer retention are increased which gives high revenue.

#### 4.2 Association Rule Mining

Association Rule Mining is a popular and well researched method for discovering interesting relations between variables in large databases. Association rules are statements of the form  $\{X_1, X_2, \dots, X_n\} \Rightarrow Y$  meaning that if all of  $X_1, X_2, \dots, X_n$  is found in the market basket, and then we have good chance of finding  $Y$ . The probability of finding  $Y$  for us to accept this rule is called the confidence of the rule. Normally rules that have a confidence above a certain threshold only will be searched. In many situations, association rules involves sets of items that appear frequently. For example, a good marketing strategy cannot be run involving items that no one buys. Thus, much data mining starts with the assumption that sets of items with support are only considered.

The discovery of such associations can help retailers develop marketing strategies by gaining insight into which items are frequently purchased together by customer and which items bring them better profits when placed with in close proximity. The two types of finding association between products existing in a large database are Boolean and Quantitative. Boolean association rule mining finds association for the entire dataset. Quantitative association rule mining finds association for the clusters formed from the dataset.

#### 4.3 Prediction

Data mining automates the process of finding predictive information in large databases. Questions that traditionally required extensive hands-on analysis can now be answered directly from the data quickly.

The primary task of association mining is to detect frequently co-occurring groups of items in transactional databases. The intention is to use this knowledge for prediction purposes.

Early attempts for prediction used classification and performance was favourable. In this project, any item is allowed to be treated as a class label its value is to be predicted based on the presence of other items. Put another way, knowing a subset of the shopping carts contents, we want to "guess" (predict) the rest. Suppose the shopping cart of a customer at the checkout counter contains bread, butter, milk, cheese, and pudding. Could someone who met the same customer when the cart contained only bread, butter, and milk, have predicted that the person would add cheese and pudding?

It is important to understand that allowing any item to be treated as a class label presents serious challenges as compared with the case of just a single class label. The number of different items can be very high, perhaps hundreds, or thousand, or even more. To generate association rules for each of them separately would give rise to great many rules with two obvious consequences: first, the memory space occupied by these rules can be many times larger than the original database (because of the task's combinatorial nature); second, identifying the most relevant rules and combining their sometimes conflicting predictions may easily incur prohibitive computational costs. In this work, both of these problems are solved by developing a technique that answers user's queries (for shopping cart completion) in a way that is acceptable not only in terms of accuracy, but also in terms of time and space complexity.

This paradigm can be exploited in diverse applications. For example, in the each "shopping cart" contained a set of hyperlinks pointing to a Web page; in medical applications, the shopping cart may contain a patient's symptoms, results of lab tests, and diagnoses; in a financial domain, the cart may contain companies held in the same portfolio.

In all these databases, prediction of unknown items can play a very important role. For instance, a patient's symptoms are rarely due to a single cause; two or more diseases usually conspire to make the person sick. Having identified one, the physician tends to focus on how to treat this single disorder, ignoring others that can meanwhile deteriorate the patient's condition. Such unintentional neglect can be prevented by subjecting the patient to all possible lab tests. However, the number of tests one can undergo is limited by such practical factors as time, costs, and the patient's discomfort. A decision support system advising a medical doctor about which other diseases may accompany the ones already diagnosed can help in the selection of the most relevant additional tests.

#### 4.4 Dempster's Rule of Combination

Dempster's rule of combination (DRC) is used to combine the discovered. When searching for a way to predict the presence of an item in partially observed shopping carts, association rules are used. However, many rules with equal antecedents differ in their consequents and some of these consequents contain the desired item to be predicted, others do not. The question is how to combine (and how to quantify) the potentially conflicting evidences. DRC is used for this purpose. Finally the predicted items are suggested to the user.

## 5. Literature Survey

### 5.1. Mining association rules using new support and confidence:

Item set evaluation, in classical association rule mining, by support was based on counting. A link based measure termed as w-support is used to formulate association rule mining. This system is called as “w-support link based” because w-support can be regarded as a generalization of support. It takes weights, are not determined by assigning values to items, of transactions for evaluation. Therefore this approach is more effective than counting based measurement.

### 5.2. Alarms Association Rules:

Hou Sizu introduced an association analysis model which consists of seven components namely:

- (i) Alarms databases – A repository of underlying effective alarms data.
- (ii) Data Import – Alarm Tables are imported from alarms database to mining database for mining alarms.
- (iii) Data Pre-processing – Conversion of data tables into the mining unified data format takes place.
- (iv) Alarms Correlation – Alarms correlation analysis such as alarms compression, alarms filtering, alarms count, etc are used to convert and compress alarms.
- (v) Sequential Pattern Mining – A sequential pattern mining algorithm is used to mine the selected unified format data in the mining database.
- (vi) Post Processing – The main function of this module is to compile the results of mining into a single form like grouping, sorting and conversion for rules.
- (vii) Expert Evaluation and Data Testing – Refining the mining results by adjusting the parameters for next iteration.
- (viii) Rules Knowledge Warehouse – It serves as a storage of alarms correlation rules which are mined by rules engines.

This model can be used to assist network managers to position the fault quickly and accurately.

### 5.3. Realization of association matrix mining algorithm:

Wang describes a transaction database as  $D=B^{(0)}$ . I, where D models transaction set, I models item set, Matrix  $B^{(0)}$  is the transaction item association matrix. The elements can be defined as: to transaction I, if it associates with item j, then the corresponding element will be 1 otherwise 0.

$$D_i = \sum_{j=1}^M B_{ij}^{(0)} I_j \quad i=1,2,\dots,N$$

Where  $i=1,2,\dots,N$  is a transaction set.  
 $J=1,2,\dots,M$  is a item set.

The frequency of the  $j^{\text{th}}$  item appears in the whole transaction matrix is determined by  $L_j$ ,

$$L_j = \sum_{i=1}^N B_{ij}^{(0)}$$

Elementary operations are done to the matrix by adding the  $B^{(0)}$  of the item sets to get the new matrix  $B^{(1)}$  so that it is easy to identify frequent pairs of items. Later based on minimum support value, it is extended to more combination of items. At last, we could get the frequent occurring group of items satisfying minimum support. This paper realizes the formation of candidate item sets of Apriori algorithm by elementary matrix operations. It increases the efficiency of data mining.

### 5.4. Apriori algorithm for Tax inspection excavation:

Qing – Xiang Zhu applies the apriori algorithm of association rules of data mining into tax inspection cases to accurately identify the dishonest enterprise in order to improve the efficiency and effectiveness of inspection. The prediction rules worked with some input information about the enterprises to which tax evasion occurred to the system.

### 5.5. Demand of Data mining for traffic Management:

Wei Cheng describes data pre-processing based on Anomaly Detection via analysis of traffic violate type, analysis of the composition of the traffic accidents and weather, time, road type and analyse the situation of the traffic violation and drivers. Association rules was implemented in the above analysis for effective traffic management. Apriori algorithm used the database report generated from the above analysis as input for its working.

### 5.6. Association Rule Mining using Coherent rules:

Tejaswi Pinniboyina proposed a new coherent rules algorithm for association rules. This algorithm which is based on coherent rules allows users to mine the data without the domain knowledge. The results are comparatively outstanding over the performance of basic association rules without coherent principle.

### 5.7. A Boolean Matrix algorithm for association rule mining:

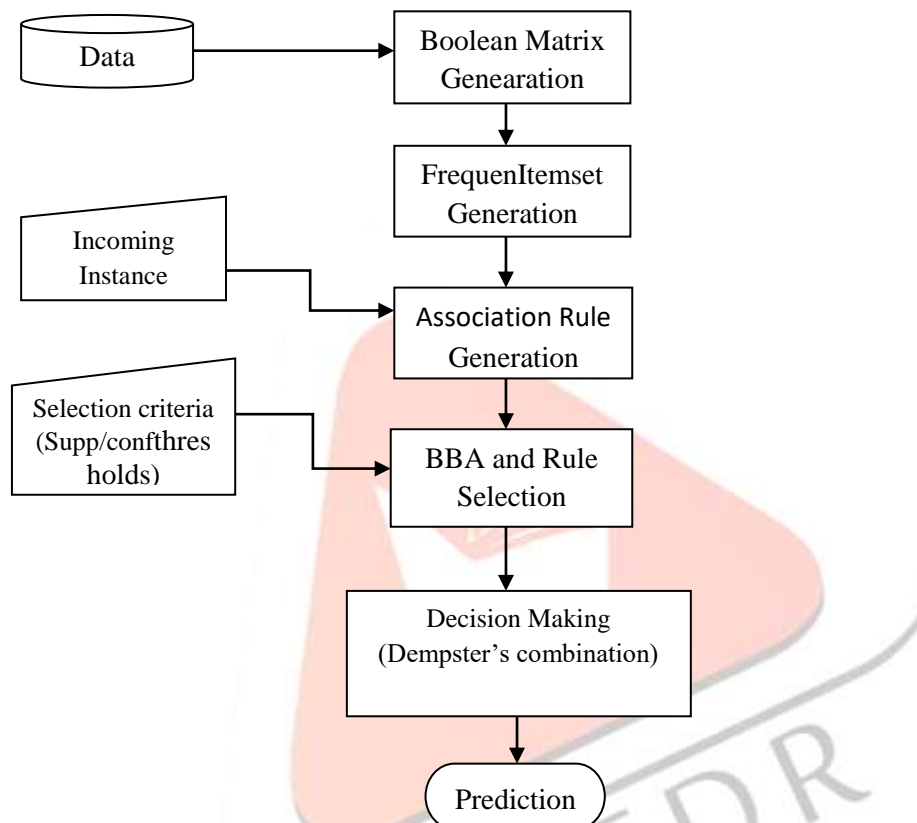
Y Jaya Babu has introduced a new boolean matrix algorithm for effective spatial data mining based on association rules. The efficiency of extracting spatial association rules was outstanding when compared to normal Apriori algorithm. This algorithm has reduced the number of times of scanning the transaction database and decreased the number of the set of candidate itemsets.

## 6. SYSTEM DESIGN

Any project developed today is said to be good only if it has some basic characteristics such as modularity, loose coupling and high cohesion. A component is classified as good quality only if it is modular, loosely coupled and has high cohesion i.e., each component should be independent of the other and each component must be focused only on its particular purpose. Finally the component should be modular so that the development of the components is understandable, can easily be enhanced in the future and also easy to locate and correct errors without affecting the other components involved in the project.

The following sections deal with how this system is designed, the modules involved and overall architecture diagram of the system which shows the modules present in the system.

### 6.1 Shopping Cart Prediction Architecture



**Fig.6.1. Shopping cart prediction architecture**

Fig.6.1. shows the shopping cart prediction architecture in which the Boolean Matrix is generated by transforming the database into Boolean values. The frequent itemsets are generated from the Boolean matrix. At this stage we need the Support value. Then association rules are generated from the already generated frequent itemsets. It takes minimum confidence from the user and discovers all rules with a fixed antecedent and with different consequent. The association rules generated form the basis for prediction.

We assign BBA value to each association rule generated. This gives more weight to rules with higher support masses assigned based on both their confidence and support values.

The incoming itemset i.e. the content of incoming shopping cart will also be represented by a Boolean vector and AND operation is performed with each transaction vector to generate the association rules. Finally the rules are combined to get the predictions.

Dempster's rule of combination (DRC) is used to combine the evidences. When searching for a way to predict the presence or absence of an item in a partially observed shopping cart, we wanted to use association rules.

However, many rules with equal antecedents differ in their consequents—some of these consequents contain the desired item to be predicted, others do not. The question is how to combine (and how to quantify) the potentially conflicting evidences. DRC is used for this purpose. Finally the predicted items are suggested to the user.

## 7. IMPLEMENTATION

This topic consists of detailed description of each and every module with its advantages and data and execution flow of each module with algorithm. It helps to understand each and every module of the project more deeply and clearly. Each description consists of the basic concept of the module, input and also the expected output.

## 7.1 Modules

The project has been divided into various modules and each module has been completed within a scheduled time line. The following are the modules of the project are boolean matrix generation, Frequent itemset generation, Association rule generation, BBA and decision making.

## 7.2 Boolean Matrix Generation

This module is to convert the data's in the database and the incoming instance to database into Boolean value (either 0's or 1's). If an item is present in the transaction it is marked with the Boolean value 1 else the item is marked as 0. Raw database "rdb" is a  $m \times n$  matrix where 'm' is the number of transactions and 'n' is the number of attributes. By using above mentioned rule the Raw database is converted into Boolean database "bdb" ( $rdb[i, j] \Rightarrow bdb[i, j]$  where 'i' represent the rows and 'j' represent the columns).

The algorithm used is given here.

```

for all i<=m do
for all j<=n do
if jth item is present in ith row
set rdb[i,j] =1
else
set rdb[i,j] =0
end do
end do

```

The example input database given to this stage is shown here. The database contains eight transactions and seven items. In real world this will be of large size but this is used for illustration purpose.

**Table.7.1. Example Input Database**

Table							
ID	Field1	Field2	Field3	Field4	Field5	Field6	Field7
7	N	N	N	N	N	N	Y
8	Y	Y	N	N	Y	Y	N
9	Y	Y	N	N	Y	Y	Y
10	N	N	Y	Y	Y	Y	N
11	N	N	N	Y	Y	Y	N
12	Y	Y	N	N	N	Y	Y
13	N	N	Y	Y	Y	Y	Y
14	N	N	Y	Y	Y	Y	Y
15	N	N	Y	Y	Y	Y	N

Table.7.1 shows that If an item is contained in a transaction, the corresponding attribute value will be 'Y', otherwise the value will be 'N'. The output of this module will be the Boolean matrix, which will look as this.

```

1 1 0 0 1 1 0
1 1 0 0 1 1 1
0 0 1 1 1 1 0
0 0 0 1 1 1 0
1 1 0 0 0 1 1
0 0 1 1 1 1 1
0 0 1 1 1 1 1
0 0 1 1 1 1 0

```

If an item is contained in a transaction, the corresponding attribute value will be 1; otherwise the value will be 0.

## 7.3 Frequent Itemset Generation

This module finds out the frequent item set from the existing transaction based on the support value. It involves Join step and Prune step. This module takes the input from the previous stage and forms the frequent itemset from matrix table whose values are 1 for transaction. This module also generates the Boolean vector for the frequent item set along with support value. Boolean vector takes the value 'true' for the item present in the itemset and takes the value 'null' for the item not present in the itemset.

The algorithm used is shown here. It has two steps as explained above.

```

for each column ci of pdb
if sum(ci) >= new_support
f1 = ii

```



```

else delete ci from pdb
for each row rj of pdb
if sum(rj) < 2
delete rj from pdb
for (k=2;|fk-1|>k-1;k++)
{
produce k-vectors combination for all columns of
bdb;
for each k-vectors combination {ci1,ci2, ci3 ... ,cik }
{
b= ci1 • ci2 •....•cik
if sum(b)>= new_support
fk={ ii1, ii2,.....,iik };
}
for each item ii in fk
if |fk(ii)| < k
delete the column ci according to item ii from bdb;
for each row rj from bdb
if sum(rj) < k+1
delete rj from bdb;
k=k+1
}
return f= f1 u f2 ... u fk
    
```

The input given to this stage is the Boolean matrix generated in previous module. This is the sample input that is given for illustration. The support value is also given as input.

1	1	0	1	1	0
1	1	0	0	1	1
0	0	1	1	1	0
0	0	0	1	1	0
1	1	0	0	0	1
0	0	1	1	1	1
0	0	1	1	1	1
0	0	1	1	1	0

Support Value: 50

The output of this stage is the frequent itemsets generated from Boolean matrix. Each frequent itemset generated is also converted into a Boolean vector as shown in the table below.

Input configuration: 7 items, 8 transactions, minsup = 50.0%  
 Frequent 1-itemsets  
 [3, 4, 5, 6, 7]  
 Frequent 2-itemsets  
 [3 4, 3 5, 3 6, 4 5, 4 6, 5 6, 5 7, 6 7]  
 Frequent 3-itemsets  
 [3 4 5, 3 4 6, 3 5 6, 4 5 6, 5 6 7]  
 Frequent 4-itemsets  
 [3 4 5 6]

**Table.7.2. Frequent itemsets generation output**

Itemset	Boolean Vector	Support
3	[null, false, false, true, false, false, false, false]	0.5
4	[null, false, false, false, true, false, false, false]	0.625
5	[null, false, false, false, false, true, false, false]	0.875
6	[null, false, false, false, false, false, true, false]	1.0
7	[null, false, false, false, false, false, false, true]	0.625
3 4	[null, false, false, true, true, false, false, false]	0.5
3 5	[null, false, false, true, false, true, false, false]	0.5
3 6	[null, false, false, true, false, false, true, false]	0.5
4 5	[null, false, false, false, true, true, false, false]	0.625
4 6	[null, false, false, false, true, false, true, false]	0.625
5 6	[null, false, false, false, false, true, true, false]	0.875

5 7	[null, false, false, false, false, true, false, true]	0.5
6 7	[null, false, false, false, false, false, true, true]	0.625
3 4 5	[null, false, false, true, true, true, false, false]	0.5
3 4 6	[null, false, false, true, true, false, true, false]	0.5
3 5 6	[null, false, true, false, true, true, true, false]	0.5
4 5 6	[null, false, false, false, true, true, true, false]	0.625
5 6 7	[null, false, false, false, false, true, true, true]	0.5

Table.6.2. shows the frequent itemsets along with the BooleanVector generated from Boolean Matrix. User Support value is needed for this. If an item is present in the frequent itemset, it takes the value 'True' in the Boolean Vector, otherwise the value will be 'False'. It involves Join step and Prune step. The frequent itemset generated should have desired support value.

### 7.4 Association Rule Generation

This module is used to generate association rules from the already generated frequent itemsets. The algorithm uses the fact that: "If there exists two rules  $A \rightarrow C$  and  $A \rightarrow \{C \cup X\}$  where  $X$  doesn't belong to  $A \cup C$  then the confidence of the second cannot be larger than the first one".

The algorithm checks if a given set is a subset of another set or not. To perform this operation each item in an itemset is represented as an integer where a bit corresponding to an item is set to 1.

For example, suppose a database with 8 attributes, itemset {1,2,5} is represented as 38 as follows. 0 0 1 0 0 1 1 0

To check if set {2,5} is a subset of {1,2,5} we represent {2,5} like above and is evaluated to 36. Now we perform AND operation 38 & 36. The result is checked for equality with the first itemset ({2, 5}). If they are equal then it is a subset otherwise it is not. In this case the result is obvious. Similarly difference of two sets is done during production of the rules.

This algorithm is capable of finding all association rules with a fixed antecedent and with different consequents from the frequent itemsets subject to a user specified minimum confidence very quickly. It takes minimum confidence from the user and discovers all rules with a fixed antecedent and with different consequent. This module also takes the frequent item set and the incoming shopping cart instance to generate the association rule with the corresponding support and confidence value.

The algorithm used is shown here.

```

for all  $f_k, f_k \in F, 1 \leq k \leq \text{maxsize}-1$  do begin
     $rsup = \text{support}(f_k) * \text{miconf}$ 
    found=0
    for all  $f_m, f_m \_ F_{k+1} \leq m \leq \text{maxsize}$  do begin
        if ( $\text{support}(f_m) \geq rsup$ ) then begin
            if ( $f_k \subset f_m$ ) then begin
                found=found+1
                 $conf = \text{support}(f_m) / \text{support}(f_k)$ 
                generate the rule  $f_k = (f_m - f_k) \ \&= \ conf$  and
                 $\text{support} = \text{support}(f_m)$ 
            end if
        else
            if (found < 2)
                continue step1 with next k
            else found=0
        end if
    end if
end do
end do

```

The input given to the rule generation process is the Boolean vectors representing each transaction and also the contents of incomplete shopping cart. This is shown in Table 6.3.

**Table.7.3. Rule Generation Input**

Itemset	Boolean Vector	Support
3	[null, false, false, true, false, false, false, false]	0.5
4	[null, false, false, false, true, false, false, false]	0.625
5	[null, false, false, false, false, true, false, false]	0.875
6	[null, false, false, false, false, false, true, false]	1.0
7	[null, false, false, false, false, false, false, true]	0.625
3 4	[null, false, false, true, true, false, false, false]	0.5
3 5	[null, false, false, true, false, true, false, false]	0.5
3 6	[null, false, false, true, false, false, true, false]	0.5
4 5	[null, false, false, false, true, true, false, false]	0.625

4 6	[null, false, false, false, true, false, true, false]	0.625
5 6	[null, false, false, false, false, true, true, false]	0.875
5 7	[null, false, false, false, false, true, false, true]	0.5
6 7	[null, false, false, false, false, false, true, true]	0.625
3 4 5	[null, false, false, true, true, true, false, false]	0.5
3 4 6	[null, false, false, true, true, false, true, false]	0.5
3 5 6	[null, false, false, true, false, true, true, false]	0.5
4 5 6	[null, false, false, false, true, true, true, false]	0.625
5 6 7	[null, false, false, false, false, true, true, true]	0.5

Enter confidence: 80

Enter incoming shopping cart contents: 3 4

**Table.7.4. Rule Generation Output**

Rule	Supp	conf
3 4 ->	5 0.5	1.0
3 4 ->	6 0.5	1.0

Table.6.4. shows the output rules generated along with the support and confidence values.

### 7.5 BBA And Decision Making

#### 7.5.1 PARTITIONED-SUPPORT

In many applications, the training data set is skewed. Thus, in a supermarket scenario, the percentage of shopping carts containing, say canned fish, can be 5 percent, the remaining 95 percent shopping carts not containing this item. Hence, the rules that suggest the presence of canned fish will have very low support while rules suggesting the absence of canned fish will have a higher support.

Unless compensated for, a predictor built from a skewed training set typically tends to favour the “majority” classes at the expense of “minority” classes. In many scenarios, such a situation must be avoided. To account for this data set skewness, we propose to adopt a modified support value termed partitioned-support.

The partitioned-support  $p\_supp$  of the rule,  $r(a) \rightarrow r(c)$ , is the percentage of transactions that contain  $r(a)$  among those transactions that contain  $r(c)$ , i.e.

$$p\_supp = \frac{\text{support}(r^{(a)} \cup r^{(c)})}{\text{support}(r^{(c)})}$$

#### 7.5.2 BBA:

In association mining techniques, a user-set minimum support decides about which rules have “high support.” Once the rules are selected, they are all treated the same, irrespective of how high or how low their support. Decisions are then made solely based on the confidence value of the rule. However, a more intuitive approach would give more weight to rules with higher support. Therefore, we use a novel method to assign to the rules masses based on both their confidence and support values. This weight value is called Basic Belief Assignment (BBA). We assign BBA value to each association rule generated.

$$\beta = \frac{((1+\alpha_2) \times \text{conf} \times p\_supp)}{(\alpha_2 \times \text{conf} + p\_supp)}; \alpha \in [0,1];$$

Dempster’s rule of combination (DRC) is used to combine the evidences. When searching for a way to predict the presence or absence of an item in a partially observed shopping carts, we wanted to use association rules. However, many rules with equal antecedents differ in their consequents—some of these consequents contain the desired item to be predicted, others do not. The question is how to combine (and how to quantify) the potentially conflicting evidences. DRC is used for this purpose. Some illustrations used from DRC are explained in following paragraph.

We remove the overlapping rules while keeping the highest confidence rule. If two overlapping rules have the same confidence, the rule with the lower support is dropped. Finally the best rule is selected by comparing the mass values. The predicted item is then suggested to the user. The input given to this stage is the set of rules generated along with their support and confidence values as shown in Table 6.5.

**Table.7.5. BBA and Decision Making Input**

Rule	Supp	conf
3 4 ->5	0.5	1.0
3 4 ->6	0.5	1.0

### 7.6 Comparison

The performance of both the existing tree approach and the proposed approach is analyzed with databases of different sizes. The results found are very much surprising in the proposed approach compared to the tree approach. The time of prediction has decreased to a great extent compared to existing tree approach.

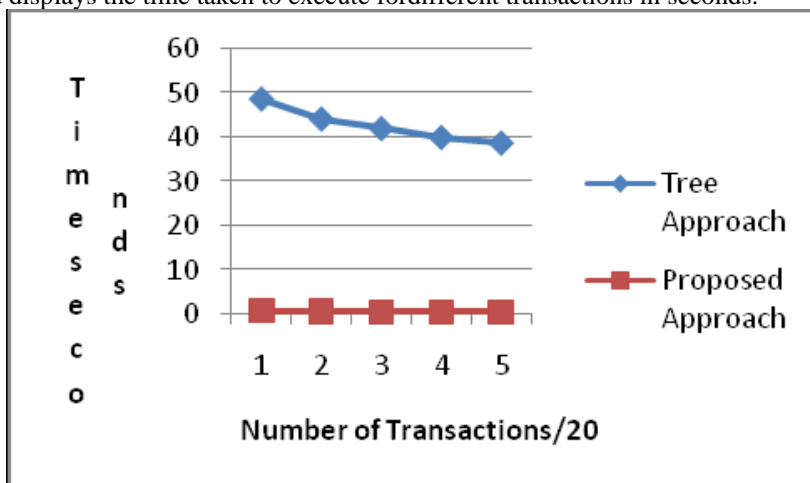
**Table.6.7. Execution Time Comparison**

No. of Transaction	Tree Approach Execution Time	Proposed Approach Execution Time
100	48.7	0.797
80	44.172	0.625
60	42.031	0.578
40	40.015	0.593
20	38.721	0.547

Table.7.7. shows the comparison of execution time between the existing tree approach and proposed approach for different transactions.

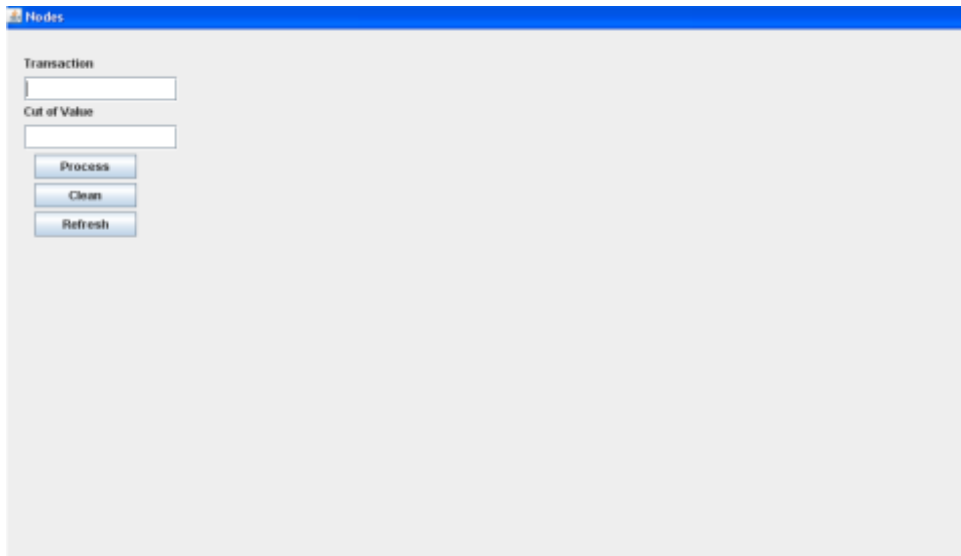
**7.7 Performance Evaluation**

The Fig.6.1. shows the performance evaluation graph which compares the performance of both the existing tree approach and proposed approach and displays the time taken to execute for different transactions in seconds.



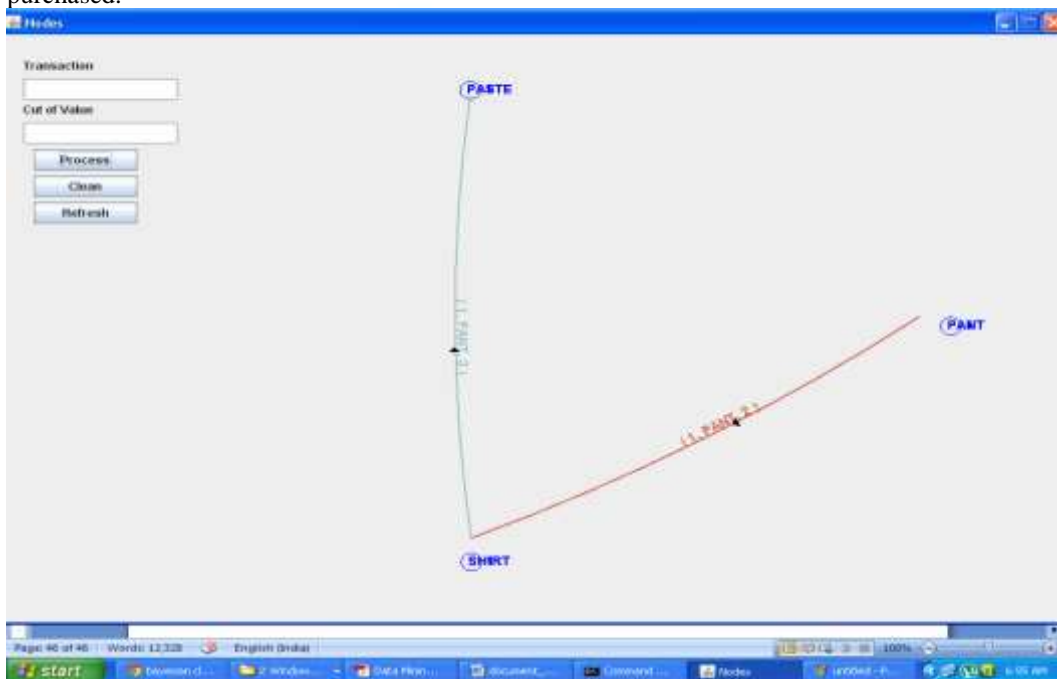
**Fig.7.1. Performance Evaluation Graph**

Fig 7.2 is the screen shot of the implemented program. Here there are two text box are there.



**Fig.7.2. Output screenshots**

In the Transaction text box we will provide the list of item to be purchased. In the cut of value text box we provide the cut of value that would be identify the transaction pattern which is frequently purchased. Upon which we will predict on the customer or the things to be purchased.

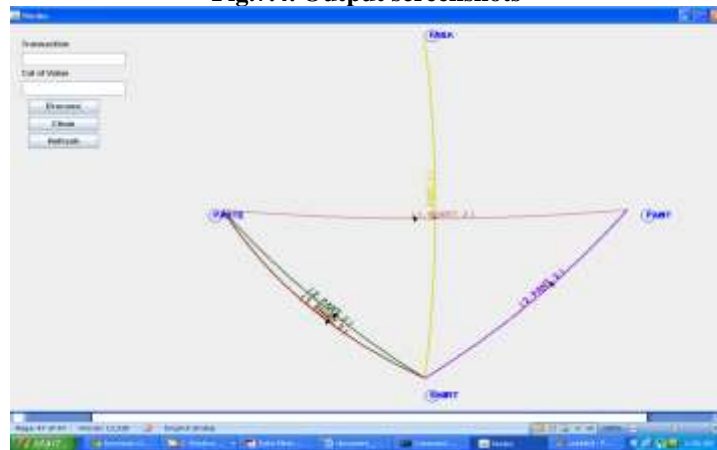


**Fig.7.3. Output screenshots**

In the Fig 7.3 (pant, shirt, paste) pattern is given. It will show a graph shown in the Fig. Here (1,PANT,2) indicate like following:  
 1:- no of occurrence of the pattern  
 PANT: starting node  
 2: pattern consisting of nodes



**Fig.7.4. Output screenshots**

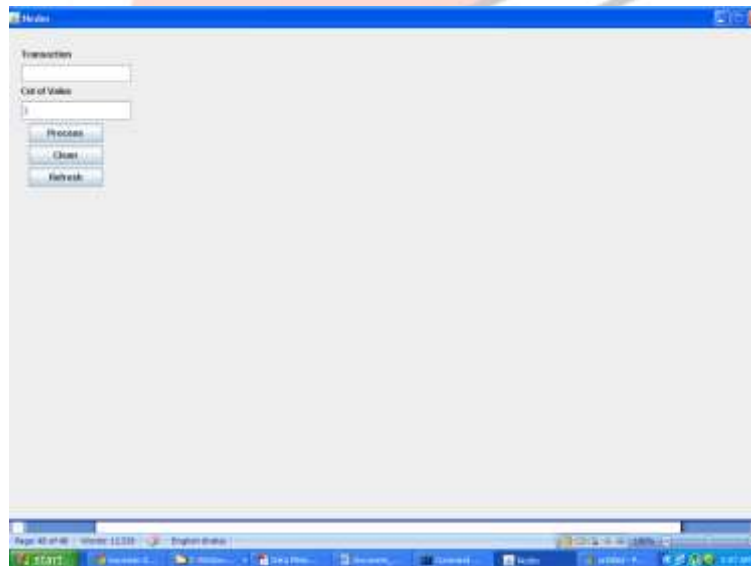


**Fig.7.5. Output screenshots**



**Fig.7.6. Output screenshots**

In the Fig.7.7 cut of value 3 is given then refresh button is clicked then it will show the exact pattern which is being purchased  $\geq 3$ .



**Fig.7.7. Output screenshots**

In the previous example we given (pant, shirt) pattern more than 3 times. So it will show the exact pattern as shown below in the Fig 7.7

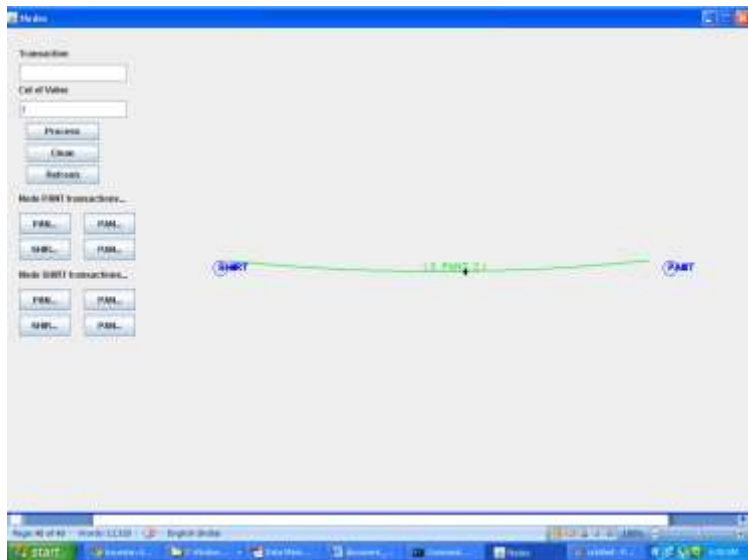


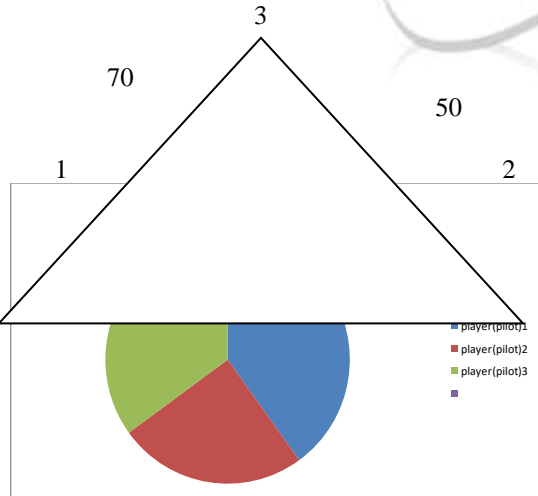
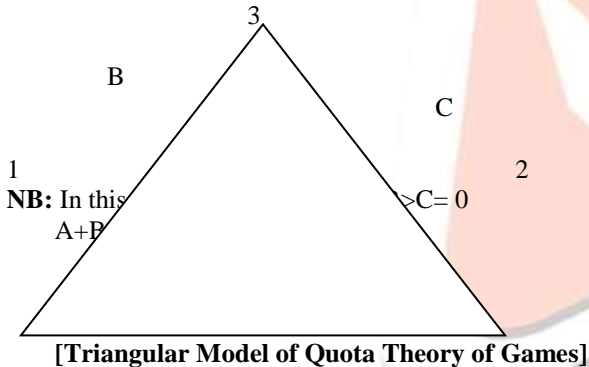
Fig.7.7. Output screenshots

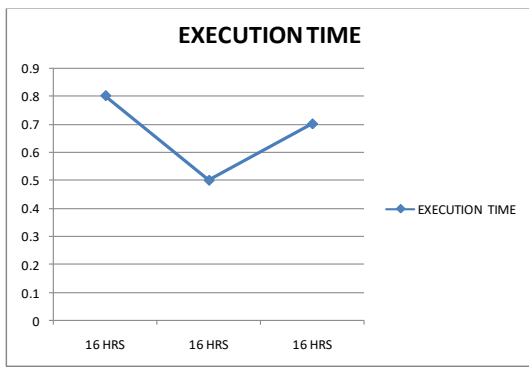
**7.8 Normative & Descriptive Theory of Probability:**

By implementing the descriptive theory, we aimed at bounded rational behaviour of pilots, by observing from their field experiments of (Piloting JCB's) & emerged. Theorizing has no longer based on the idea of fully rationally, but rather than the stipulated time and budget. Thus we, show you a comparison for a very simple job of assignment i.e.

**7.9 The Normative & descriptive Theory of Probability:**

In this experiment of this game theory, we want to consider all work performance of three pilots by using a quota game, without Grand coalition and minimum payoff for one pilot's coalition. Let us, see the triangle 1. of above where you can look at the three players (Pilots) like 1,2,3, where, 1 and 2 together done the job 'A' and 1, and 3 together done the job 'B' and 3, and 2 together done the job 'C'. Thus, B & C is greater than 'A'. In this way the pilots just did their assigned jobs.





**[Work performance of Three Pilots by Execution of Time]**

An example of fully symmetric 3 pilot’s quota games without grand coalition and three minimums cost pay of to one pilot coalition .The obtained values are all together than or equal to “zero” and then ‘B’ plus ‘C’ is greater than ‘A’. This is a kind of triangular equation, we are experimented 200 games, where, pilots get 80.70 and 50 respectively games.

**7.10 Results of Semiotic Model by using pie chart:**

This semiotic models of pie chart focuses about the work flow performance of three JCB machines operating by the three pilots in different time as per the stipulated time (16) hours per day.

**7.11 Solution by using Quota Theory:**

In order to solute, this problem, earlier we have taken game theory of algorithm, and here, we have taken three quotas of assignment of piloting such as  $q_1$ ,  $q_2$ , and  $q_3$  in order to define the concepts of minimizing cost of expenditure and time as well as maximizing work flow of performance of pilots (over JCB machines) by the way the three pilots i.e. 1, 2, and 3, with the property of that quotas of two pilots. Sum the value of their coalition. So the sum of  $q_1, q_2$  is sum of ‘A’  $q_1$  and  $q_1$  and  $q_3$  is the sum of ‘B’  $q_2$  and  $q_3$  is the sum up ‘C’.

‘A’ in order to testing the prevails pilot 1 can offer more two pilot ‘3’ than pilot ‘2’, here, only quota agreements avoid this kind of discrepancies and imbalanced in between them.

**Table:7.1 Comparison with quota theory**

Quotas/Assignments	Equal division of cost Bounds
$q_1+q_2= A$	$1>2>3$ (order of strength)
$q_2+q_3 =B$	Lower bound $S_1, S_2$ and $S_3$ for payment.
$q_2+q_3 =C$	in to ‘2’pilots coalitions
Example: $q_1=50$ $q_2=30$ $q_3=20$	Stronger member can claim equal share $S_1=A/2, S_2 =C/2$ Upper bounds for 1 and 2 in (1,2) $H1= A- S_2, H2= a- S_1$ 3’s competitive bound $S_3=Max.S=40, s_2=25, s_3=10$
<b>Circular definition</b>	<b>An algorithm definition has proved.</b>

**8. Conclusion**

In this article, we present a brief overview of the current status and future directions of frequent pattern mining. With over a decade of extensive research, there have been hundreds of research publications and tremendous research, development and application activities in this domain. It is impossible for us to give a complete coverage on this topic with limited space and our limited knowledge .Hopefully, this short overview may provide a rough outline of the recent work and give people a general view of the field. In general, we feel that as a young research field in data mining, frequent pattern mining has achieved tremendous progress and claimed a good set of applications.also researcher decide to put quota theory application to solve in probalistic way However, in-depth research is still needed on several critical issues so that the field may have its long lasting and deep impact in data mining applications.

**References**

[1] j.Han and M.Kamber, “Data Mining: Concepts and Techniques”. 2<sup>nd</sup> Edition, Morgan Kanufmann Publishers, August 2006.  
 [2] Agarwal R.and Srikant R., Fast algorithm for mining association rules, VLDB(1994), 487-499.  
 [3] j. Han, “Mining frequent patterns without candidate generation”, In Proc.2000.  
 [4] Gao Jun: “A New Algorithm of Association Rule Mining”, 2008. International Conference on Computational Intelligence and Security.



- [5] Vaarandi Risto, "A Breadth- First Algorithm for Mining Frequent Patterns from event logs", Department of Computer Engineering, Tallinn University of Technology.
- [6][http://en.wikipedia.org/wiki/Apriori\\_algorithm](http://en.wikipedia.org/wiki/Apriori_algorithm)
- [7]<http://www.google.com>
- [8] Cormen, Thomas H., Charles E. Leiserson, Ronald L. Rivest, *Introduction to Algorithms*, MIT Press, Massachusetts: 1998
- [9] R. Agarwal, C. Aggarwal, and V. V. V. Prasad. A tree projection algorithm for generation of frequent itemsets. In *Journal of Parallel and Distributed Computing (Special Issue on High Performance Data Mining)*, 2000.
- [10] R. Agrawal, T. Imielinski, and A. Swami. Mining association rules between sets of items in large databases. *SIGMOD'93*, 207-216, Washington, D.C.
- [11] R. Agrawal and R. Srikant. Fast algorithms for mining association rules. *VLDB'94* 487-499, Santiago, Chile.
- [12] R. Agrawal and R. Srikant. Mining sequential patterns. *ICDE'95*, 3-14, Taipei, Taiwan.
- [13] R. J. Bayardo. Efficiently mining long patterns from databases. *SIGMOD'98*, 85-93, Seattle, Washington.
- [14] S. Brin, R. Motwani, and C. Silverstein. Beyond market basket: Generalizing association rules to correlations. *SIGMOD'97*, 265-276, Tucson, Arizona.

