

# Analysis of Fractional order PID controller for Ceramic Infrared Heater

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**Abstract** - In this paper, on the basis of computational scheme, a controller is designed to satisfy the robustness property with respect to gain variation and desired phase margin criteria for PID controller. In study, numerical computation of tuning formulae and the relationship between design specification and design parameter are both discussed by taking an example of ceramic infrared heating system. In the design specification, the controller parameters and the plant conditions consider and a fair comparison with an optimal design integer order PID (IOPID) controller has been done via simulation to show the controllers dynamic performance, stability and robustness.

**IndexTerms** - PID, IOPID, FOC, FOPTD, FOPID, Ceramic Infrared Heater

## I. INTRODUCTION

In, the recent year the applications of fractional calculus have been attracting more and more researchers in the field of engineering and science [14, 17]. The orders of fractional calculus are real number. Today, many researchers has been focused on fractional order controllers and obtained some useful results. The fractional order PID controller ( $PI^\lambda D^\mu$ ) was proposed [4] in as a generalization of PID controller, where expanding of the derivative and integrals to fractional orders which are adjusted to the frequency response of the control system directly and continuously. This paper presents a mathematical computational tuning scheme of FOC for certain temperature system used in industry.

The main contribution of this paper includes

1. Fractional order PID controller with  $\lambda$  and  $\mu$  as unity is proposed (IOPID) and mathematical computation has been done on first order plus time delay system.
2. Mathematical computational has been done for fractional order PID controller and implemented on first order plus time delay system
3. According to the systematic design and simulation, a fair comparison of control performance has been done with IOPID controller.
4. From the simulation results, it is found that FOPID controller outperforms than the IOPID controller.

## II. PROBLEM FORMULATION

The energy resources in the country are not evenly distributed with snow fed hydro resources concentrated in the north, monsoon dependant hydro in the south and coal reserves in central India. As a result, long transmission lines are constructed from the generating stations located close to the energy sources to the load centers and there is long haulage of power. The Indian power grids are also characterized by a well meshed network. Power flow between two areas may not only be direct but there may also be loop flows. A number of flow-gates, which are corridors comprising of a group of trunk lines, have been identified by the system operators for monitoring the power flows.

### Design Specification of Control plant and controllers

#### a) Control Plant:

Because of small delay time in large number of temperature system, a typical first order plus time delay plant has been discussed as

$$G(s) = \frac{k}{T_s + 1} e^{-Ls} \quad (1)$$

It is an approximately model of a large number of industrial temperature plant. For the ceramic infrared heating system, transfer function with the value of gain (k) variation of 3.96 to 4.2, time constant of 140 sec and lag time of 7 sec has been taken by using S-shaped approximation. The typical FOPTD plant transfer function for ceramic infrared heater taken as

$$G_{IRD}(s) = \frac{[3.96 - 4.2]}{140s + 1} e^{-7s} \quad (2)$$

#### b) Controllers:

The fractional order proportional integral derivative controller (FOPID) has the following form

$$C(s) = K_p \left( 1 + \frac{T_i}{s^\lambda} + T_d s^\mu \right) \quad (3)$$

Where,  $\lambda \in (0,1)$  and  $\mu \in (0,1)$

Clearly, this is a specific form of the most common  $PI^\lambda D^\mu$  controller which includes an integrator of order  $\lambda$  and a differentiator of order  $\mu$ .

By considering the value of  $\lambda \in 1$  and  $\mu \in 1$ , the controller form become the IOPID in the following expression as

$$C_{IOPID}(s) = K_p \left( 1 + \frac{T_i}{s} + T_d s \right) \quad (4)$$

Where  $K_p$ ,  $K_i$  and  $K_d$  represents proportional, integral and derivative gain respectively [4][6].

### Design Consideration:

By considering, the tuning method presented by Monje, Vinagre and their colleague used in the paper [12]. Monje and Vinagre et. al, consider the five design criteria algorithm for design specification. These design criteria obtained by getting the value of required phase margin  $\phi_m$ , critical frequency  $\omega_{cp}$  point on the Nyquist curve of plant at which

$$\arg[(G(\omega_{cp}))] = -180^\circ$$

and gain margin as

$$g_m = \frac{1}{|G(j\omega_{cp})|}$$

By getting the phase margin ( $\phi_m$ ) and critical frequency ( $\omega_{cp}$ ), five design criteria of Monje – Vinagre et. al methods are given as follow.

#### a. Phase Margin and Gain Crossover Frequency

The two frequency domain specifications are used to measure the robustness i.e gain margin and phase margin. The phase margin is related to the damping of the system, thus the following equation should be satisfied

$$\left| C(j\omega_{cg})G(j\omega_{cg}) \right|_{dB} = 0 \text{ dB}$$

and

$$[\text{Arg}(C(j\omega_{cg})G(j\omega_{cg}))] = -\pi + \phi_m \quad (5)$$

Where  $\omega_{cg}$  is the gain crossover frequency.

#### b. Robustness due to variation in the gain of Plant

The phase is forced to be flat at  $\omega_{cg}$  and the phase plot is almost constant within the interval around  $\omega_{cg}$  to satisfy the following constraint

$$\left( \frac{d(\text{Arg}(C(j\omega_{cg})G(j\omega_{cg})))}{d\omega} \right)_{\omega=\omega_{cg}} = 0 \quad (6)$$

As, per the phase plot around the specified frequency  $\omega_{cg}$  is locally flat, which implies that the system will be more robust to variation of gain and step response is almost constant within the interval with constant overshoots.

#### c. High Frequency Noise Rejection

The following condition must be satisfied to the robustness due to high frequency noise

$$\left| T(j\omega) = \frac{C(j\omega)G(j\omega)}{1 + C(j\omega)G(j\omega)} \right|_{dB} \leq A \text{ dB} \quad (7)$$

Where A is the desired value of the noise attenuation for frequency is  $\omega \geq \omega_i$  rad/sec.

#### d. Good output disturbance rejection

The following constraint must be satisfied to ensure a good output disturbance rejection.

$$\left| S(j\omega) = \frac{1}{1 + C(j\omega)G(j\omega)} \right|_{dB} \leq B \text{ dB} \quad (8)$$

Where B is the desirable value of sensitivity function for which the frequency is  $\omega \leq \omega_s$  rad/sec.

### III. DESIGN ANALYSIS

#### a.) Design of IOPID controller

By considering the FOPDT system for ceramic infrared heater, whose open loop transfer function  $G_{IRD}(s)$

$$P(s) = C(s)G_{IRD}(s)$$

The frequency response for ceramic IR heater system as

$$G_{IRD}(s) = \frac{k}{Tj\omega + 1} e^{-Lj\omega}$$

Where  $K=3.96$  to  $4.2$ ,  $T=140$  sec,  $L=7$  sec

The gain and phase of the plant are as follow

$$|G_{IRD}(j\omega)| = \frac{k}{\sqrt{1+(\omega T)^2}}$$

$$\text{Arg}[G_{IRD}(j\omega)] = -\tan^{-1}(\omega T) - L\omega$$

#### Controller Design:

As per the FOPID controller, the value of integrator order ( $\lambda = 1$ ) and differentiator order ( $\mu = 1$ ) are taken as unity respectively then IOPID controller obtain as[10]

$$C_{IOPID}(s) = K_p \left( 1 + \frac{T_i}{s} + T_d s \right)$$

In this study, a method has been proposed to obtain the proportional gain constant ( $K_p$ ), the constant of integral gain ( $K_i$ ) and the constant of derivative gain ( $K_d$ ). Let the  $\phi_m$  be the required phase margin and  $\omega_{cp}$  be the frequency of the critical point on the Nyquist curve of plant  $G_{IRD}(s)$  at which  $\arg[(G(\omega_{cp}))] = -180^\circ$  and define gain margin as

$$g_m = \frac{1}{|G_{IRD}(j\omega_{cp})|} = k_c$$

Then, in order to make the phase margin of the system equal to  $\phi_m$  and  $|C(j\omega_{cp})G_{IRD}(j\omega_{cp})|_{dB} = 1$ , the following equation must satisfied.

$$C(j\omega_{cp}) = \frac{1}{|G_{IRD}(j\omega_{cp})|} e^{j\phi_m} = k_c \cos \phi_m + j k_c \sin \phi_m$$

According to IOPID controller transfer function(4), we can get the frequency response as

$$C(j\omega) = K_p + \frac{K_i}{j\omega} + j\omega K_d \quad (9)$$

The gain and phase of controller are as follow,

$$|C(j\omega)| = \sqrt{K_p^2 + \left( \omega K_d - \left( \frac{K_i}{\omega K_p} \right) \right)^2} \quad (10)$$

$$\text{Arg}[C(j\omega)] = \tan^{-1} \left( \frac{K_d \omega^2 - K_i}{\omega K_p} \right) \quad (11)$$

The open loop frequency response given as

$$P(j\omega) = C(j\omega)G_{IRD}(j\omega)$$

The gain and phase of the open loop frequency response as follows

$$|P(j\omega)| = \frac{\sqrt{K_p^2 + \left( \omega K_d - \left( \frac{K_i}{\omega K_p} \right) \right)^2}}{\sqrt{1+(\omega T)^2}} \quad (12)$$

$$\text{Arg}[P(j\omega)] = \tan^{-1}\left(\frac{K_d\omega^2 - K_i}{\omega K_p}\right) - \tan^{-1}(\omega T) - L\omega \quad (13)$$

According to the design specification (i) and (ii), the robustness to gain variation in the plant, we can establish an equation about  $K_p$ ,  $K_i$  and  $K_d$  as

$$K_p = \frac{1}{k} \sqrt{\frac{B_1}{1 + A_1^2}} \quad (14)$$

$$K_i = \frac{1}{2k} \left[ \sqrt{\frac{1 + A_1^2}{B_1}} (T\omega_{cp} + LB_1\omega_{cp})^2 - A_1\omega_{cp} \sqrt{\frac{B_1}{1 + A_1^2}} \right] \quad (15)$$

$$K_d = \frac{1}{2k} \left[ \sqrt{\frac{1 + A_1^2}{B_1}} (T + LB_1)^2 - A_1\omega_{cp}^{-1} \sqrt{\frac{B_1}{1 + A_1^2}} \right] \quad (16)$$

Where

$$A_1 = \tan \left[ \tan^{-1}(\omega_{cp} T) + L\omega_{cp} + \phi_m \right]$$

$$B_1 = 1 + \omega_{cp}^2 T^2$$

From, the Nyquist curve, we set the gain margin and phase margin as follow by taking Nyquist and bode plot of system as  $\omega_{cp} = 0.08 \text{ rad/sec}$ ,  $\phi_m = 60^\circ$

By solving the equation (14), (15) and (16), we get  $K_p$ ,  $K_i$  and  $K_d$  directly

$$K_p = 2.825, K_i = 0.0855, K_d = 9.074$$

The IOPID controller obtained as

$$C_{IOPID} = 2.825 + \frac{0.0855}{s} + 9.74s \quad (17)$$

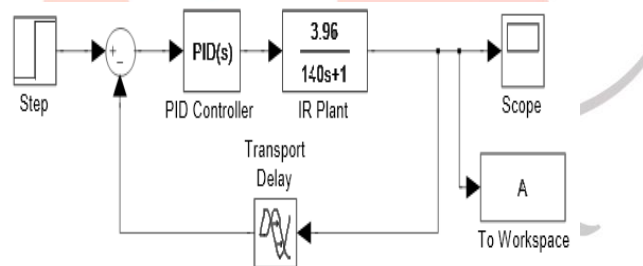


Figure 1. Block diagram of feedback control system with IOPID Controller

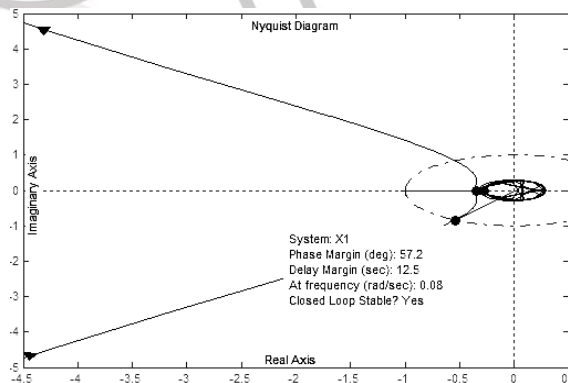


Figure 2. Nyquist plot of system  $G_{IRD}(s)$

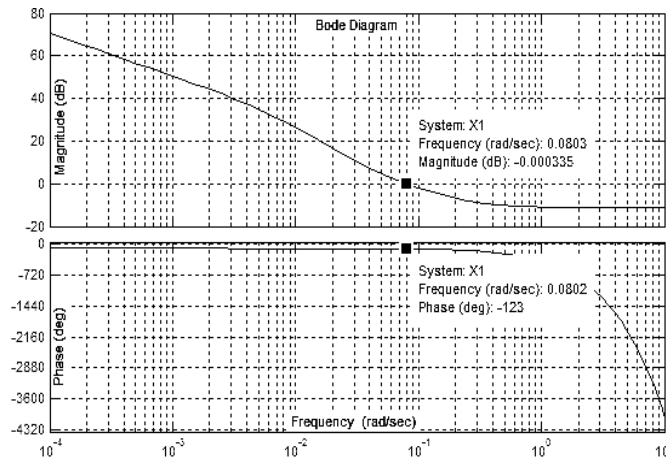


Figure 3. Bode plot of system  $G_{IRD}(s)$

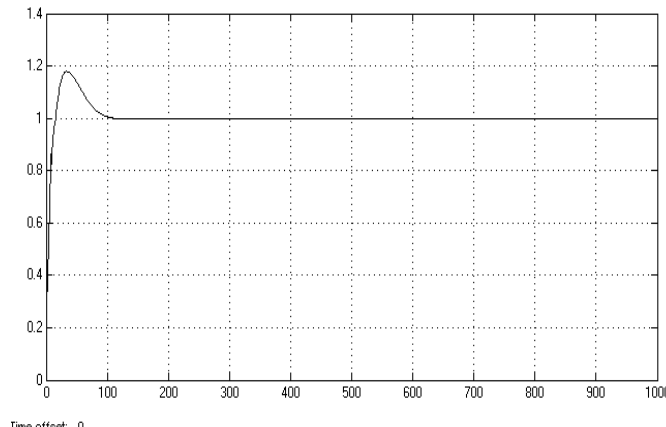


Figure 4. Step response of the system for  $C_{IOPID}$  for phase margin ( $60^\circ$ ) and  $\omega_{cp}=0.08$  rad/sec

**b.) Design of  $PI^\lambda D^\mu$  Controller**

This section represents the development of a tuning method of  $PI^\lambda D^\mu$  controller for first order plus time delay system with gain parameter uncertainty structure. All parameters of the  $PI^\lambda D^\mu$  controller are calculated to satisfy the performance of the plant. Five unknown parameters of the  $PI^\lambda D^\mu$  controller are estimated solving five non-linear equations that satisfy five design criteria [12]. Bode plot of FOPTD systems with gain parameter uncertainty structure are successfully combined with five design criteria to obtain the  $PI^\lambda D^\mu$  controller. The phase and amplitude of the plant in frequency domain taken as,

$$Arg[G_{IRD}(j\omega)] = -\tan^{-1}(\omega T) - L\omega$$

$$|G_{IRD}(j\omega)| = \frac{k}{\sqrt{1 + (\omega T)^2}}$$

**FOPID Controller design**

From fractional order PID controller transfer function (3), we can get its frequency response as follows,

$$C(j\omega) = K_p + \frac{K_i}{(j\omega)^\lambda} + (j\omega)^\mu K_d$$

$$C(j\omega) = K_p + (j\omega)^{-\lambda} K_i + (j\omega)^\mu K_d$$

$$(j\omega)^{-\lambda} = \omega^{-\lambda} \left( \cos\left(\frac{\pi}{2}\lambda\right) - j \sin\left(\frac{\pi}{2}\lambda\right) \right)$$

$$= k_p + K_i \omega^{-\lambda} \left( \cos\left(\frac{\pi}{2}\lambda\right) + j \sin\left(-\frac{\pi}{2}\lambda\right) \right) + K_d \omega^\mu \left( \cos\left(\frac{\pi}{2}\mu\right) + j \sin\left(\frac{\pi}{2}\mu\right) \right)$$

$$= k_p + K_i \omega^{-\lambda} \cos\left(\frac{\pi}{2}\lambda\right) + K_d \omega^\mu \cos\left(\frac{\pi}{2}\mu\right) + j \left( K_i \omega^{-\lambda} \sin\left(-\frac{\pi}{2}\lambda\right) + K_d \omega^\mu \sin\left(\frac{\pi}{2}\mu\right) \right)$$

$$a = k_p + K_i \omega^{-\lambda} \cos\left(\frac{\pi}{2}\lambda\right) + K_d \omega^\mu \cos\left(\frac{\pi}{2}\mu\right) \tag{18}$$

$$b = -K_i \omega^{-\lambda} \sin\left(\frac{\pi}{2}\lambda\right) + K_d \omega^\mu \sin\left(\frac{\pi}{2}\mu\right) \tag{19}$$

$$\text{Arg}[C(j\omega)] = \tan^{-1}\left(\frac{b}{a}\right)$$

$$|C(j\omega)| = \sqrt{a^2 + b^2}$$

According to specification (a), the phase value  $P(j\omega_c)$

$$\begin{aligned} \text{Arg}[P(j\omega_c)] &= \text{Arg}[C(j\omega_c)] + \text{Arg}[G(j\omega_c)] = -\pi + \phi_m \\ &= \tan^{-1}\left(\frac{b}{a}\right) - \tan^{-1}(\omega_c T) - L\omega_c = -\pi + \phi_m \end{aligned} \quad (20)$$

According to specification (a) we get the magnitude of  $P(j\omega_c)$  as

$$|P(j\omega_c)| = |G(j\omega_c)||C(j\omega_c)|$$

$$= \left| \frac{k\sqrt{a^2 + b^2}}{\sqrt{1 + (\omega t)^2}} \right|_{dB} = 0 \text{ dB} \quad (21)$$

According to specification (b) we get

$$\frac{d}{d\omega}(\text{Arg}(P(j\omega_c))) = 0$$

As

$$\frac{1}{1 + (b/a)^2} \left( \frac{abu - b.au}{a^2} \right) - \frac{T}{1 + \omega_c^2 T^2} - L = 0 \quad (22)$$

Where

$$au = -K_i \lambda \omega_c^{-\lambda-1} \cos\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_c^{\mu-1} \cos\left(\frac{\pi}{2} \mu\right) \quad (23)$$

$$bu = K_i \lambda \omega_c^{-\lambda-1} \sin\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_c^{\mu-1} \sin\left(\frac{\pi}{2} \mu\right) \quad (24)$$

As per the specification (c) we get the high frequency noise rejection as

$$\left| T(j\omega_c) = \frac{k\sqrt{at^2 + bt^2}}{(1 + k.at)^2 + j(\omega T + k.bt)^2} \right|_{dB} \leq -20dB \quad (25)$$

Where

$$at = K_p + K_i \lambda \omega_i^{-\lambda} \cos\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_i^{\mu} \cos\left(\frac{\pi}{2} \mu\right) \quad (26)$$

$$bt = -K_i \lambda \omega_i^{-\lambda} \sin\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_i^{\mu} \sin\left(\frac{\pi}{2} \mu\right) \quad (27)$$

As per the specification (d) we get Good disturbance rejection as

$$\left| S(j\omega_c) = \frac{\sqrt{1 + (\omega T)^2}}{\sqrt{(1 + K.as)^2 + (K.bs + T\omega)^2}} \right|_{dB} \leq -20dB \quad (28)$$

Where

$$as = K_p + K_i \lambda \omega_s^{-\lambda} \cos\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_s^{\mu} \cos\left(\frac{\pi}{2} \mu\right) \quad (29)$$

$$bs = -K_i \lambda \omega_s^{-\lambda} \sin\left(\frac{\pi}{2} \lambda\right) + K_d \mu \omega_s^{\mu} \sin\left(\frac{\pi}{2} \mu\right) \quad (30)$$

Steady state gain  $k$  does not have any effect on phase plot of the plant. In order to design robust  $PI^\lambda D^\mu$  controller should be satisfied with transfer function of FOPID, namely  $\omega_{cp}$  must be taken at the point 'x'. The constraint of phase margin and gain margin should be satisfied at point 'y' shows the minimum phase margin.

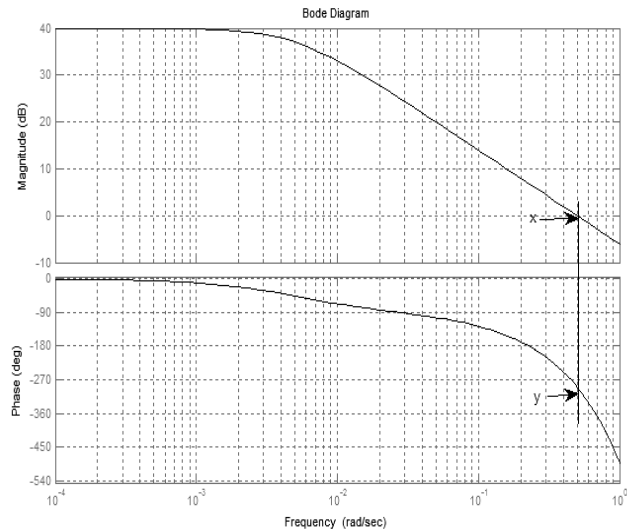


Figure 5. Bode plot of a FOPTD plant

By using Equation (20, 21, 22, 25, 28) five unknown parameter  $K_p, K_i, K_d, \lambda$  and  $\mu$  can be solved by using FMINCON optimization toolbox of Mat Lab. Equation (21) is considered as a main equation and other equations are taken as non-linear constraints for optimization. Value of the all five unknown parameters are calculated to obtain the  $PI^\lambda D^\mu$  controller to control the ceramic IR heater as  $K_p=0.6073, K_i=6.1194, K_d=0.2045, \lambda=0.7815, \mu=0.4454$  and transfer function of fractional order PID controller given as

$$C(s)_{FOPID} = 0.6073 + \frac{0.2045}{s^{0.7815}} + 6.1194s^{0.4454}$$

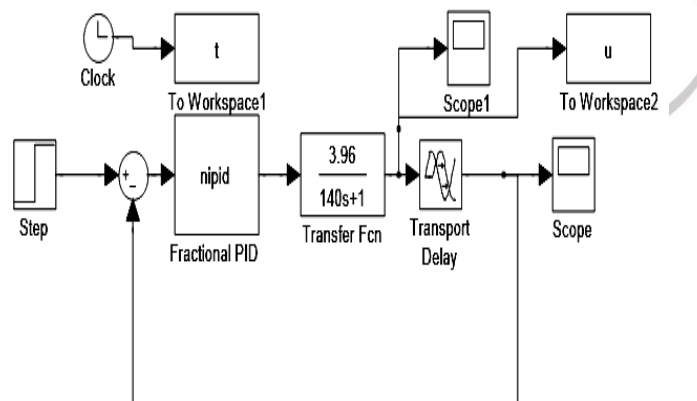
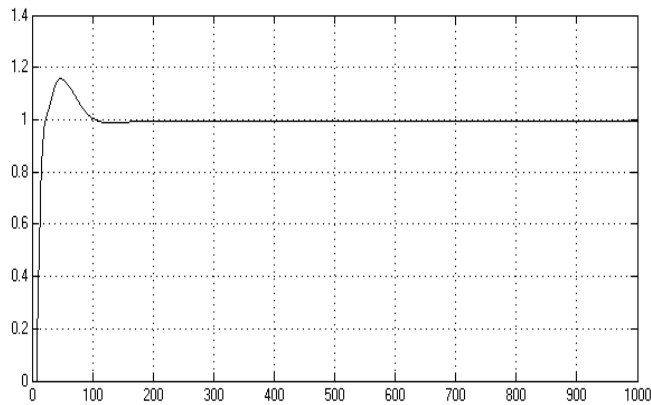


Figure 6 . Block diagram of feedback control system with FOPID controller

Ninteger is a toolbox of Mat Lab intended to help developing fractional ( or non-integer ) order controllers for single input- single output plant and to access their performance .The step response of the plant with FOPID controller obtained by using ‘nintblock’ of Mat Lab developed by Valerio, D.



Time offset: 0

Figure 7. Step response of the system for  $C_{FOPID}$  for phase margin ( $60^\circ$ ) and  $\omega_{cp}=0.08$  rad/sec

The step response of the system shows that the system is more effective and robust to gain change and overshoot of the step responses is almost constant. Bode plot, Magnitude plots of  $T(s)$  and  $S(s)$  of the system obtained in Mat Lab. It shows that phase of the system are almost flat and almost constant within an interval around  $\omega_{cp}$  with specified constraints.

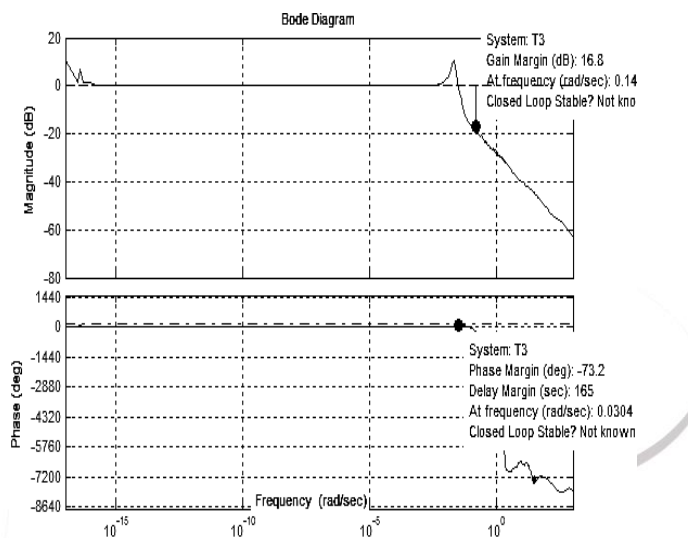


Figure 8. Magnitude of  $T(s)$  for  $C_{FOPID}(s)G(s)$

From the figure of bode plot,  $T(s)$  and  $S(s)$ , one can conclude that the controller satisfies the robust performance of the system.

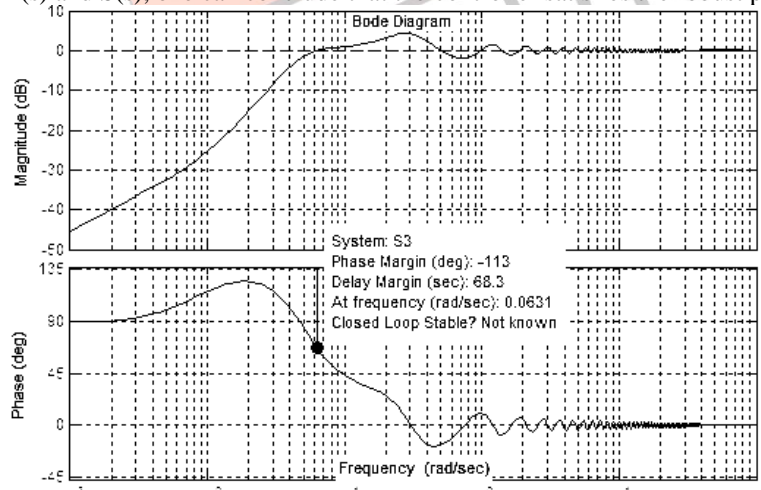


Figure (9): Magnitude of  $S(s)$  for  $C_{FOPID}(s)G(s)$

#### IV. RESULT

Step response specification and performance indices of  $C_{IOPID}$  and  $C_{FOPID}$  Controller

Step Response Specification	IOPID Controller	FOPID Controller
Rise Time	0.5333	0.6857
Peak Time	6	14



Peak Overshoot (%)	200	600
Settling Time (5%)	6.96	6.88
IAE	9.641	10.24
ISE	18.71	23.26

## V. CONCLUSION

In this paper, two methods for tuning of  $PI^\lambda D^\mu$  controller have been proposed. The first method is based on the idea of using unity power for the integrator and derivative function of  $PI^\lambda D^\mu$ . By solving the equation obtained by taking consideration of the constraint, we get the value of the different three parameters optimized to achieve better step response.

The proposed robust tuning method for a  $PI^\lambda D^\mu$  controller to control first order plus time delay with parameter uncertainty structure designed. The five design constraints for the benefit of the Monje-Vinagre et. al. method were used to derive five non-linear equations. Value of unknown parameters  $K_p, K_i, K_d, \lambda$  and  $\mu$  of phase extremum of bode envelopes of the plant are used to satisfy robust performance of the system.

The simulation results (rise time, peak time, settling time, peak overshoot and performance indices (IAE, ISE)) show that the proposed method of  $PI^\lambda D^\mu$  controller has better response than IOPID controller for ceramic IR heater.

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